

Computer Graphics

- Spatial Index Structures -

Philipp Slusallek

Motivation

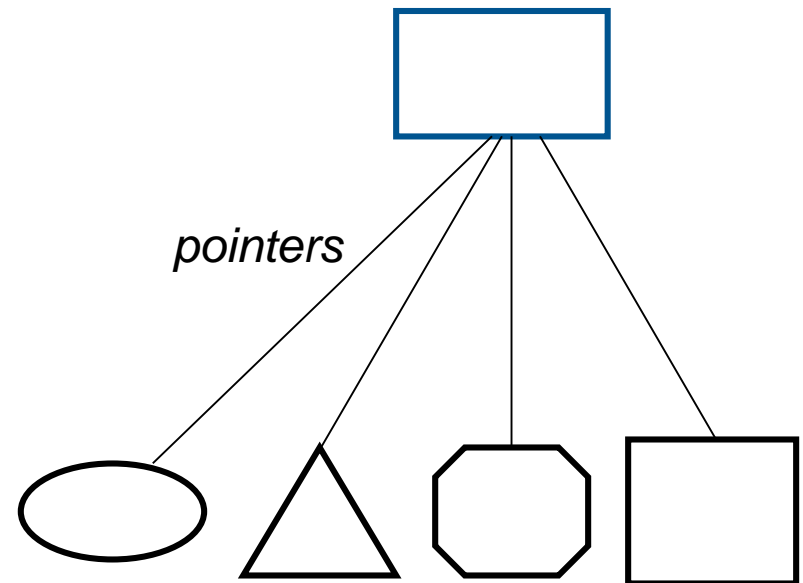
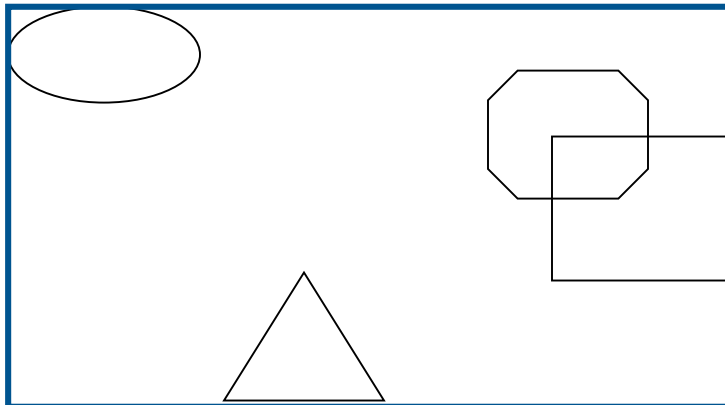
- **Tracing rays in $O(n)$ is too expensive**
 - Need hundreds of millions rays per second
 - Scenes consist of millions of triangles
- **Reduce complexity through **pre-sorting** data**
 - **Spatial index structures**
 - Dictionaries of objects in 3D space
 - Eliminate intersection candidates as early as possible
 - Can reduce complexity to $O(\log n)$ on average
 - Worst case complexity is still $O(n)$
 - *Private exercise: Come up with a worst case example*

Acceleration Strategies

- **Faster ray-primitive intersection algorithms**
 - Does not reduce complexity, “only” a constant factor (but relevant!)
 - **Less intersection candidates**
 - Spatial indexing structures
 - (Hierarchically) partition space or the set of objects
 - Examples
 - Grids, hierarchies of grids
 - Octrees
 - Binary space partitions (BSP) or kd-trees
 - Bounding volume hierarchies (BVH)
 - Directional partitioning (not very useful)
 - 5D partitioning (space and direction, once a big hype)
 - Close to pre-compute visibility for all points and all directions
 - **Tracing of continuous bundles of rays**
 - Exploits coherence of neighboring rays, amortize cost among them
 - Frustum tracing, cone tracing, beam tracing, ...
-

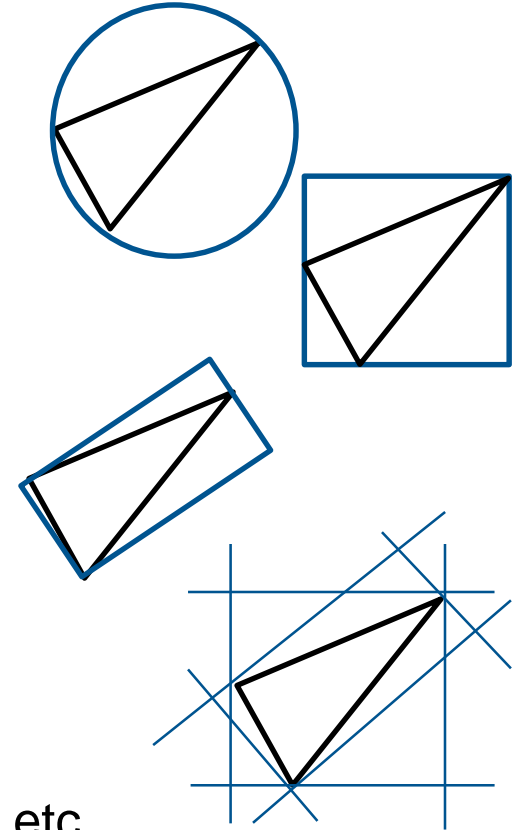
Aggregate Objects

- Object that holds groups of objects
- Conceptually stores bounding box and list of children
- Useful for instancing (placing collection of objects repeatedly) and for Bounding Volume Hierarchies



Bounding Volumes

- **Observation**
 - BVs (tightly) bound geometry, ray must intersect BV first
 - Only compute intersection if ray hits BV
- **Sphere**
 - Very fast intersection computation
 - Often inefficient because too large
- **Axis-aligned bounding box (AABB)**
 - Very simple intersection computation (min-max)
 - Sometimes too large
- **Non-axis-aligned box**
 - A.k.a. „oriented bounding box (OBB)“
 - Often better fit
 - Fairly complex computation
- **Slabs**
 - Pairs of half spaces
 - Fixed number of orientations/axes: e.g. $x+y$, $x-y$, etc.
 - Pretty fast computation



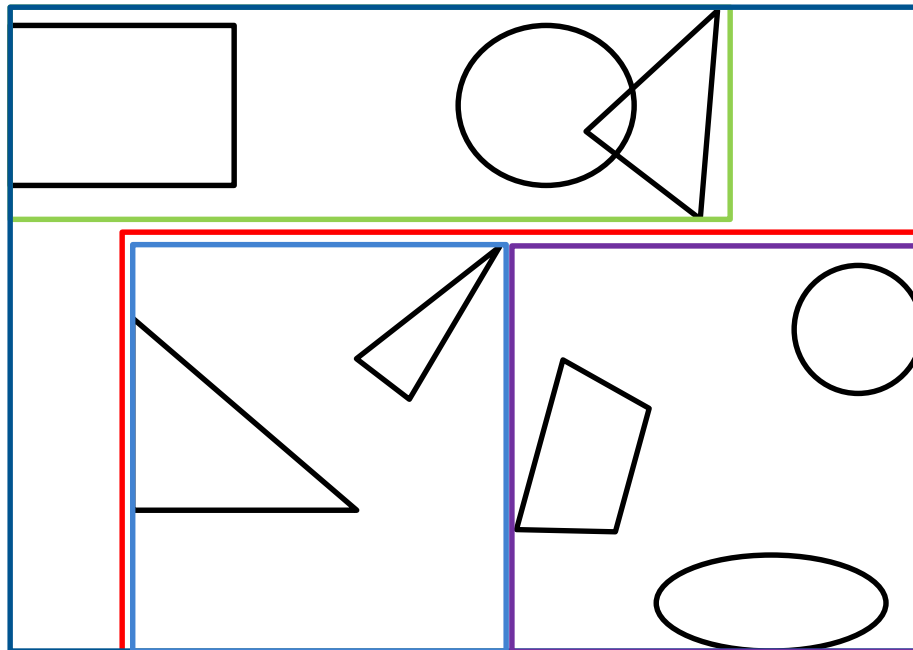
Bounding Volume Hierarchies (BVHs)

- **Definition**
 - Hierarchical partitioning of a set of objects
- **BVHs form a tree structure**
 - Each inner node stores a volume enclosing all sub-trees
 - Each leaf stores a volume and pointers to objects
 - All nodes are aggregate objects
 - Usually every object appears once in the tree
 - Except for instancing



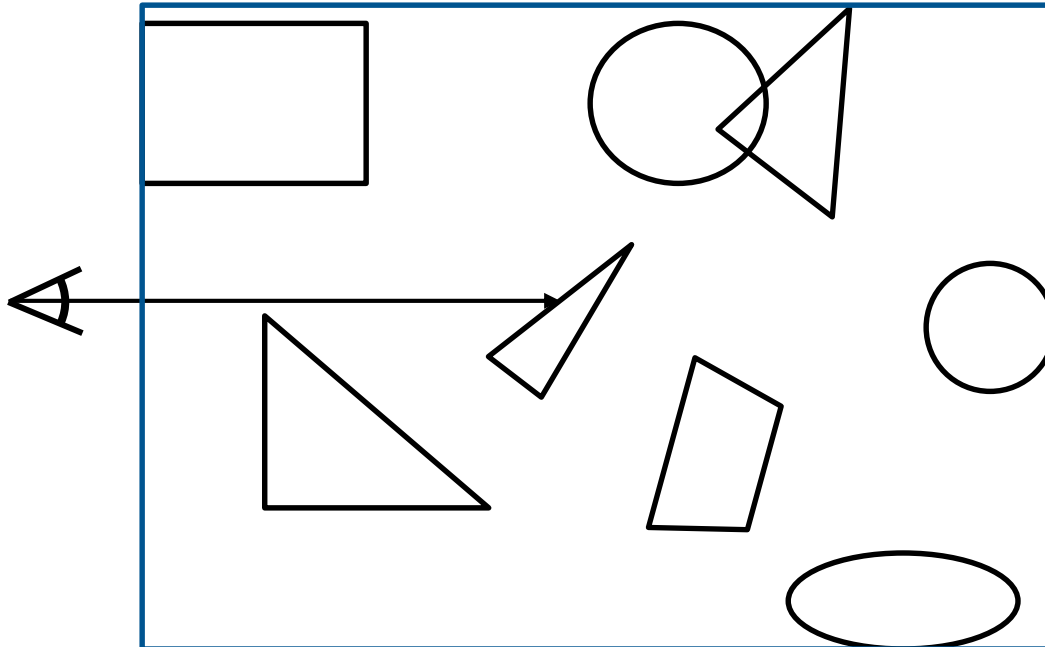
Bounding Volume Hierarchies (BVHs)

- Hierarchy of groups of objects



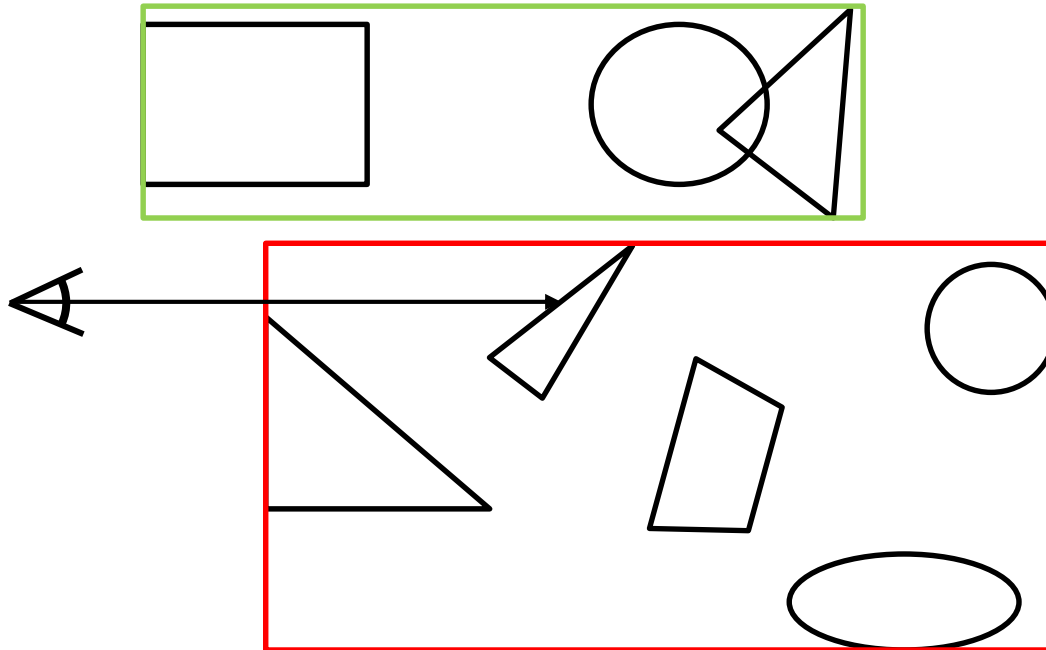
BVH traversal (1)

- **Accelerate ray tracing**
 - By eliminating intersection candidates
- **Traverse the tree**
 - Consider only objects in leaves intersected by the ray



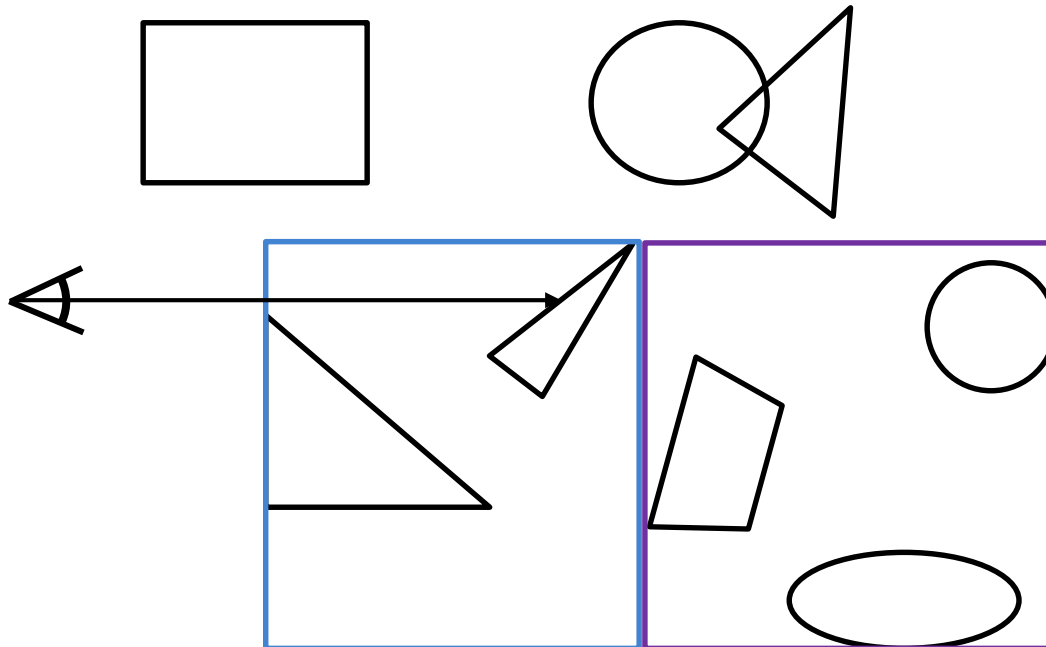
BVH traversal (2)

- **Accelerate ray tracing**
 - By eliminating intersection candidates
- **Traverse the tree**
 - Consider only objects in leaves intersected by the ray



BVH traversal (3)

- **Accelerate ray tracing**
 - By eliminating intersection candidates
- **Traverse the tree**
 - Consider only objects in leaves intersected by the ray
 - Cheap traversal instead of costly intersection



Object vs. Space Partitioning

- **Object partitioning**
 - BVHs hierarchical partition *objects* into groups
 - Create spatial index by spatially bounding each subgroup
 - Subgroups may be overlapping !
 - **Space partitioning**
 - (Hierarchically) partitions *space* in subspaces
 - Subspaces are non-overlapping and completely fill parent space
 - Organize them in a structure (tree or table)
 - **Next: Space partitioning**
-

Uniform Grids

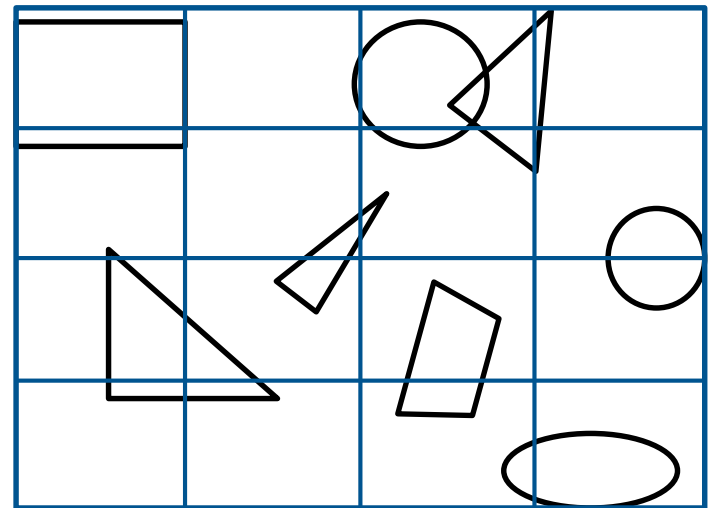
- **Definition**

- Regular partitioning of space into equal-size cells
- Non-hierarchical structure

- **Resolution**

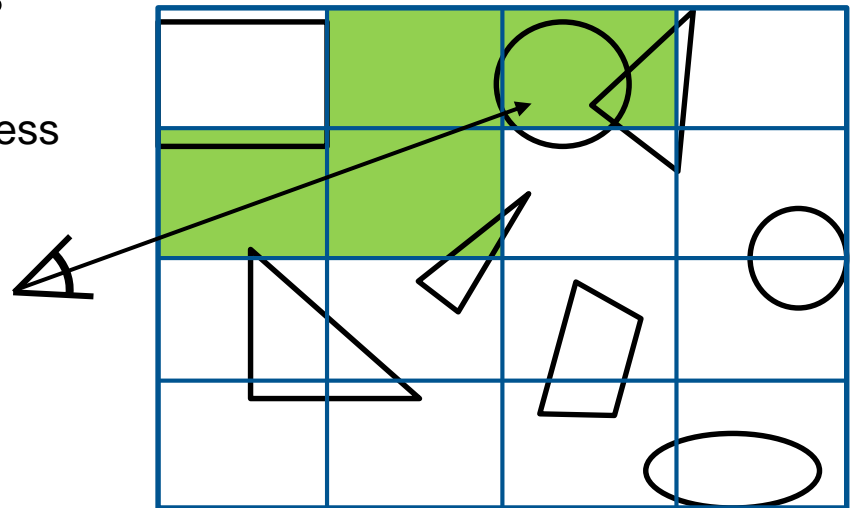
- Want: number of cells in $O(n)$
- Resolution in each dimension proportional to $\sqrt[3]{n}$

- Usually $R_{x,y,z} = d_{x,y,z} \sqrt[3]{\frac{\lambda n}{V}}$
 - d : diagonal of box (a vector)
 - n : #objects
 - V : volume of Bbox
 - λ : density (user-defined)



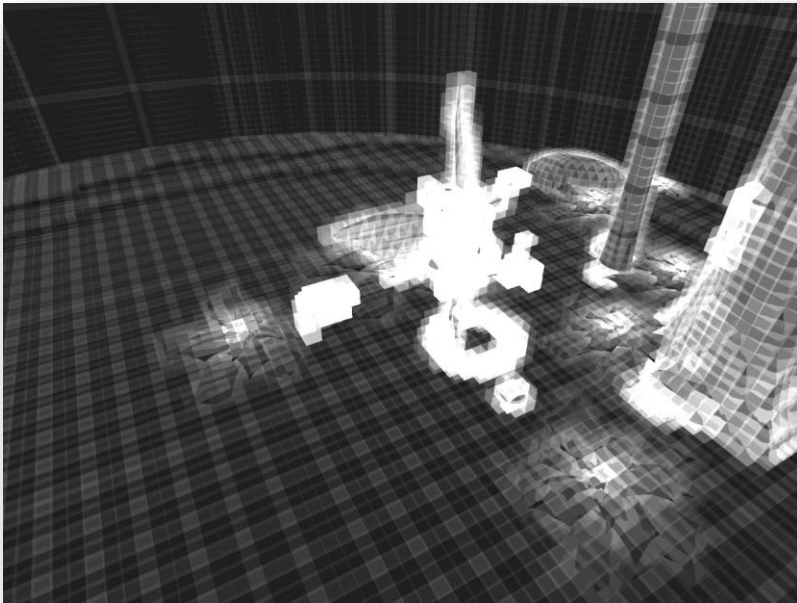
Uniform Grid Traversal

- **Grids are cheap to traverse**
 - 3D-DDA, modified Bresenham algorithm (see later)
 - Step through the structure cell by cell
 - Intersect with primitives inside non-empty cells
- **Mailboxing**
 - Single primitive can be referenced in many cells
 - Avoid multiple intersections
 - Keep track of intersection tests
 - Per-object cache of ray IDs
 - Problem with concurrent access
 - Per-ray cache of object IDs
 - Data local to a ray (better!)

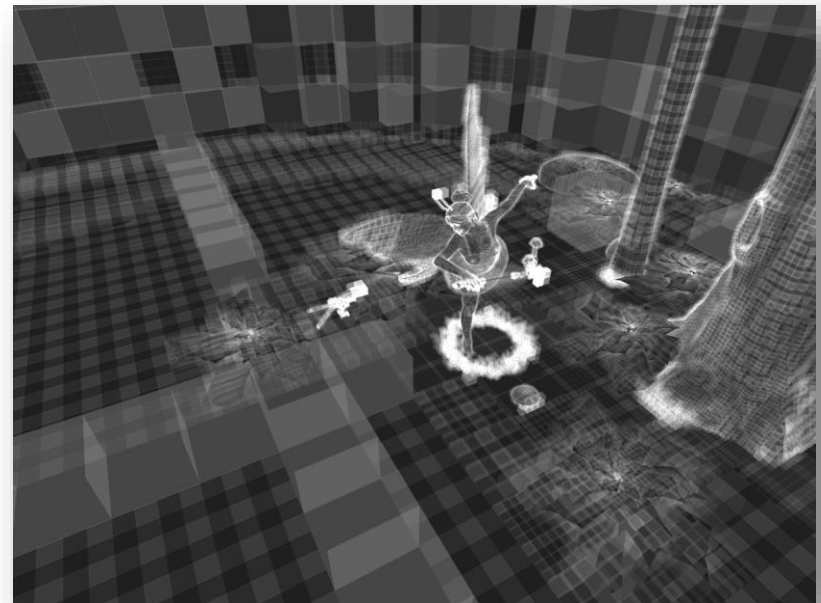


Nested Grids

- **Problem: „Teapot in a stadium”**
 - Uniform grids cannot adapt to local density of objects
- **Nested Grids**
 - Hierarchy of uniform grids: Each cell is itself a grid
 - Fast algorithms for building & traversal (Kalojanov et al. '09, '11)



Cells of uniform grid
(colored by # of intersection tests)

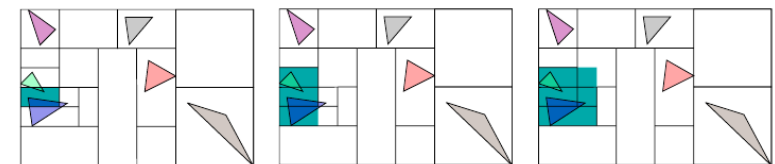
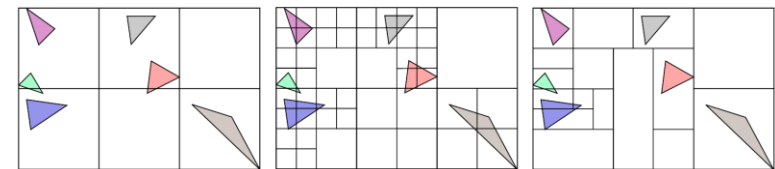


Same for two-level grid

Irregular Grids

- **Irregular grids can accel traversal [Perard-Gayot'17]**
 - Build grid (hierarchical) base grid (power of 2, adapts to scene)
 - Base grid defines minimum resolution for computation
 - Neighboring cells can be *merged* (eagerly)
 - As long as no change in set of primitives
 - Can also *expand* cells (for exit operations)
 - As long as neighbors contain only subset of cells primitives
 - Allows for making larger steps
 - Approach needs more memory

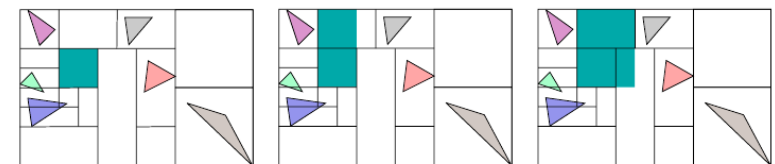
Construction (merge & expand)



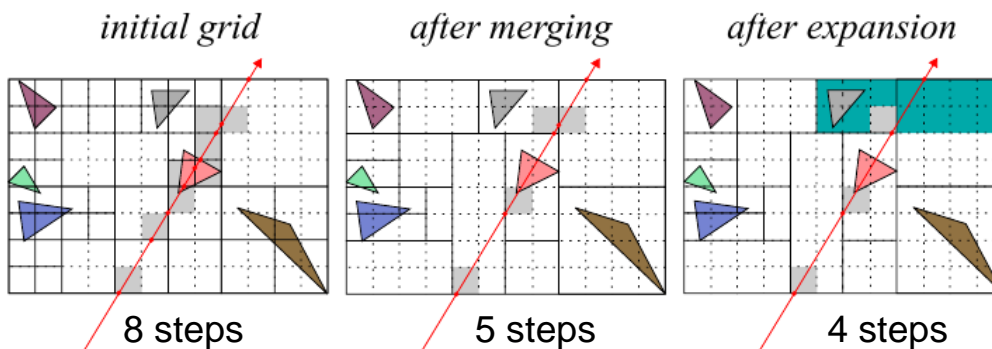
initial bounding box

expand in y

expand in x

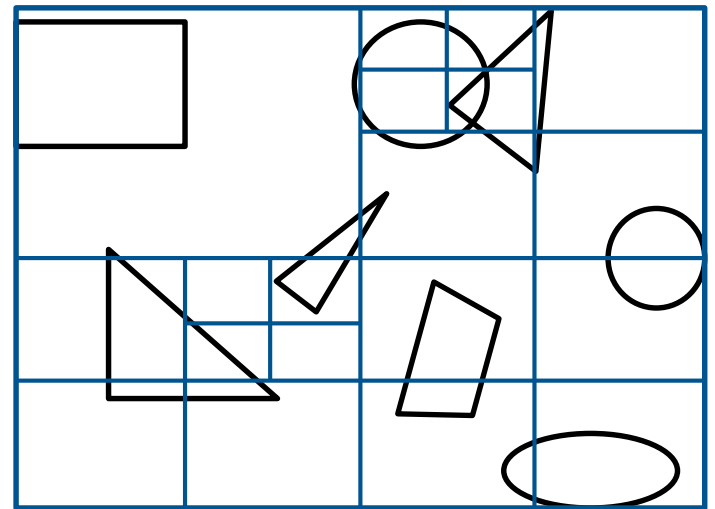
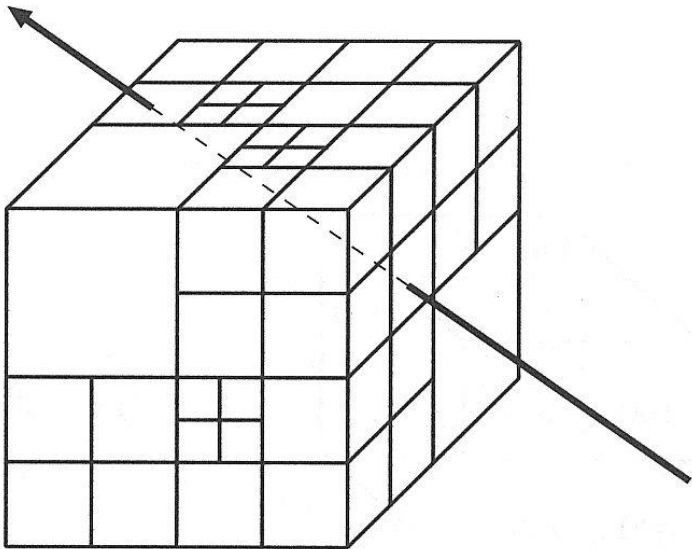


Traversal (simplified)



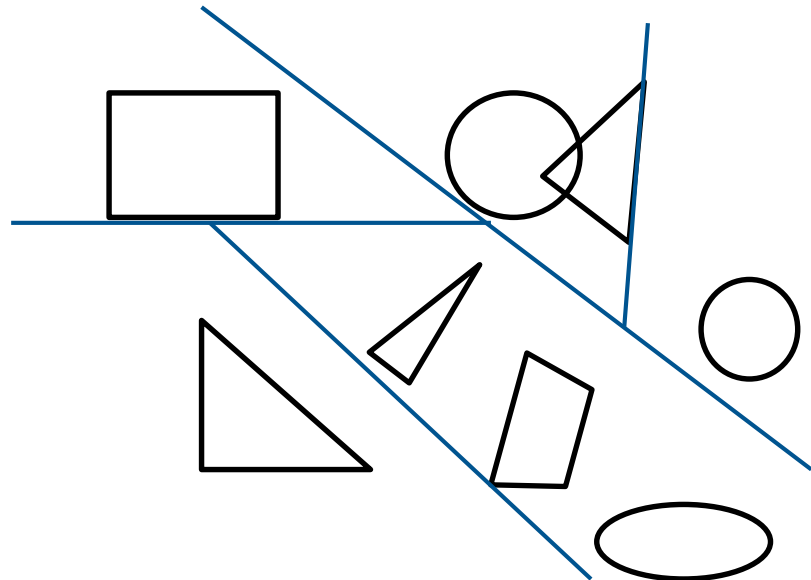
Octrees and Quadrees

- **Octree**
 - Hierarchical space partitioning (“simplest hierarchical grid”)
 - Each inner node contains 8 (2x2x2 grid) equally sized voxels
- **Quadtree**
 - 2D “octree”
- **Adaptive subdivision**
 - Adjust depth to local scene complexity



BSP Trees

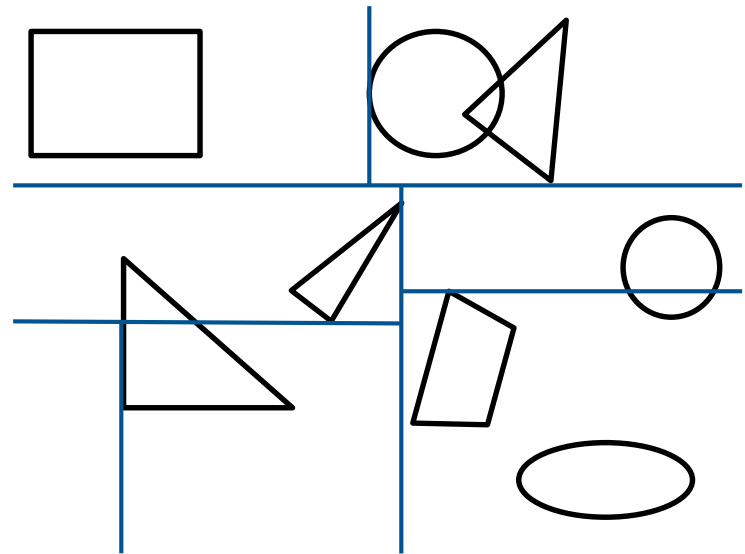
- **Definition**
 - Binary Space Partition Tree (BSP)
 - Recursively split space with planes
 - Arbitrary split positions
 - Arbitrary orientations
- **Used for visibility computation**
 - E.g. in games (Doom)
 - Enumerating objects in back to front order



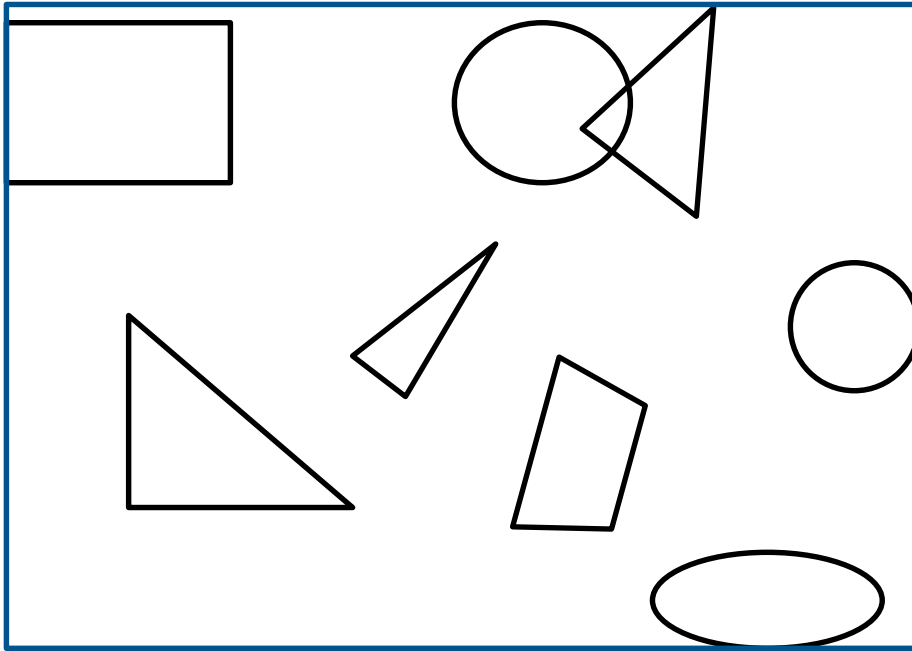
kD-Trees

- **Definition**

- **Axis-Aligned** Binary Space Partition Tree
- Recursively split space with axis-aligned planes
 - Arbitrary split positions
 - Greatly simplifies/accelerates computations

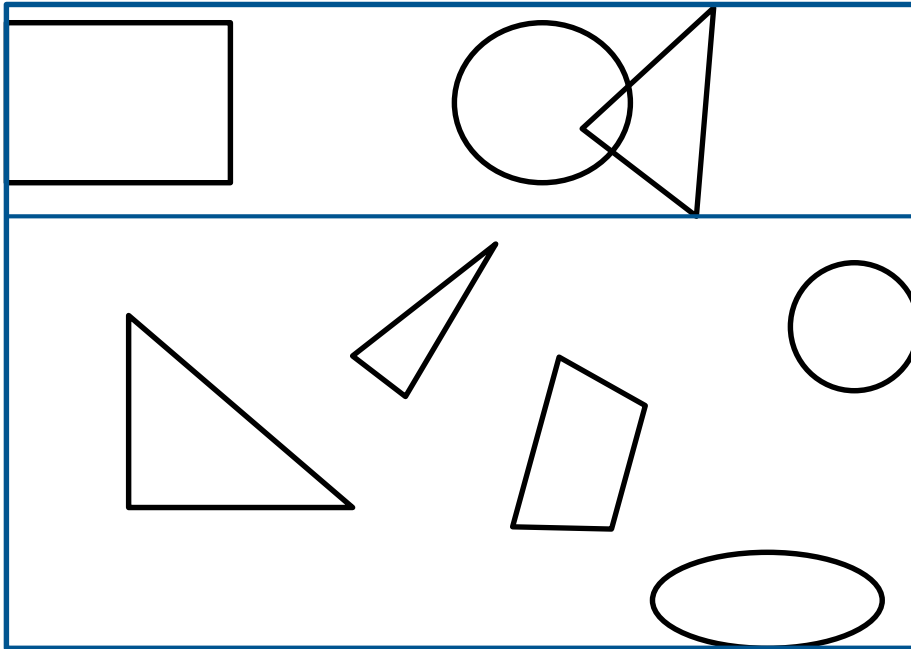


kD-Tree Example (1)



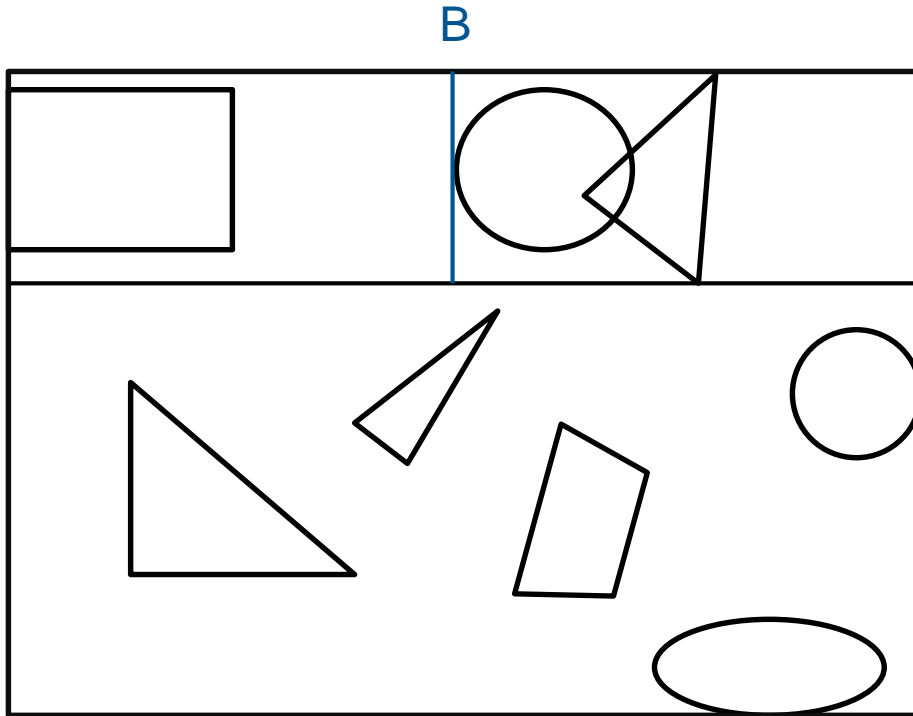
kD-Tree Example (2)

A

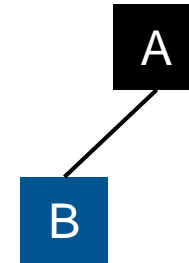


A

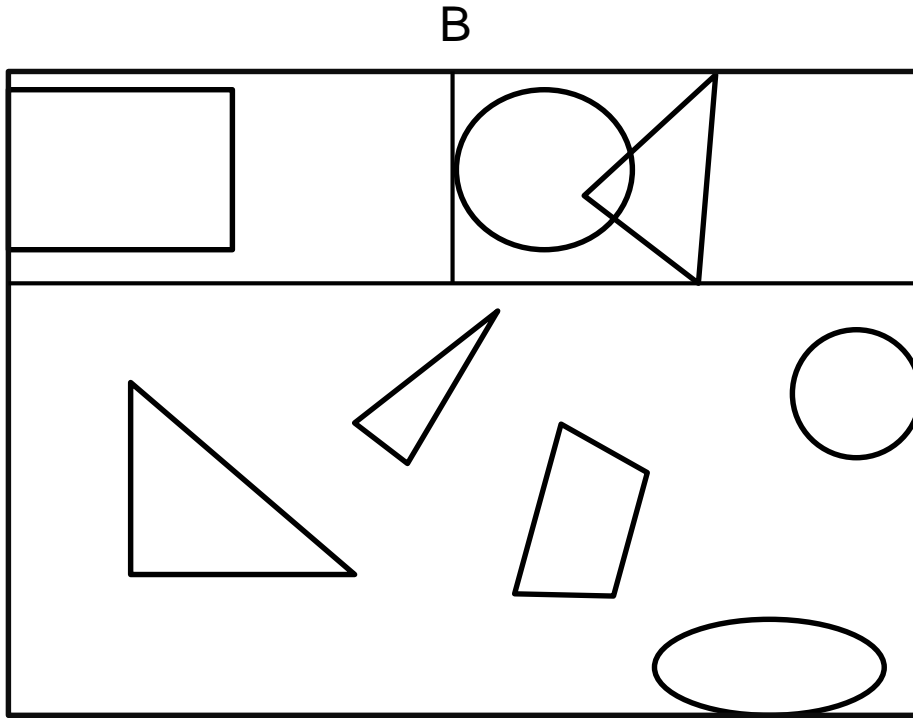
kD-Tree Example (3)



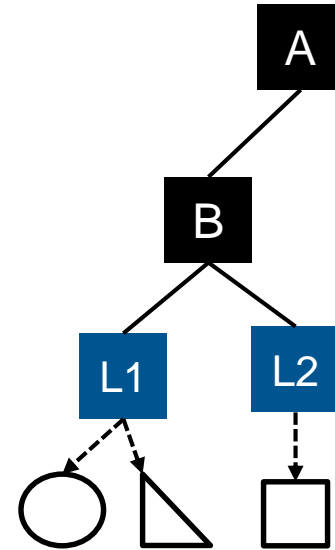
A



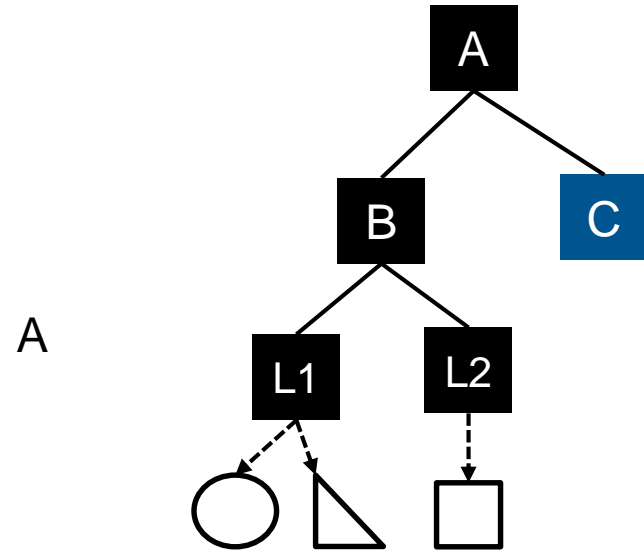
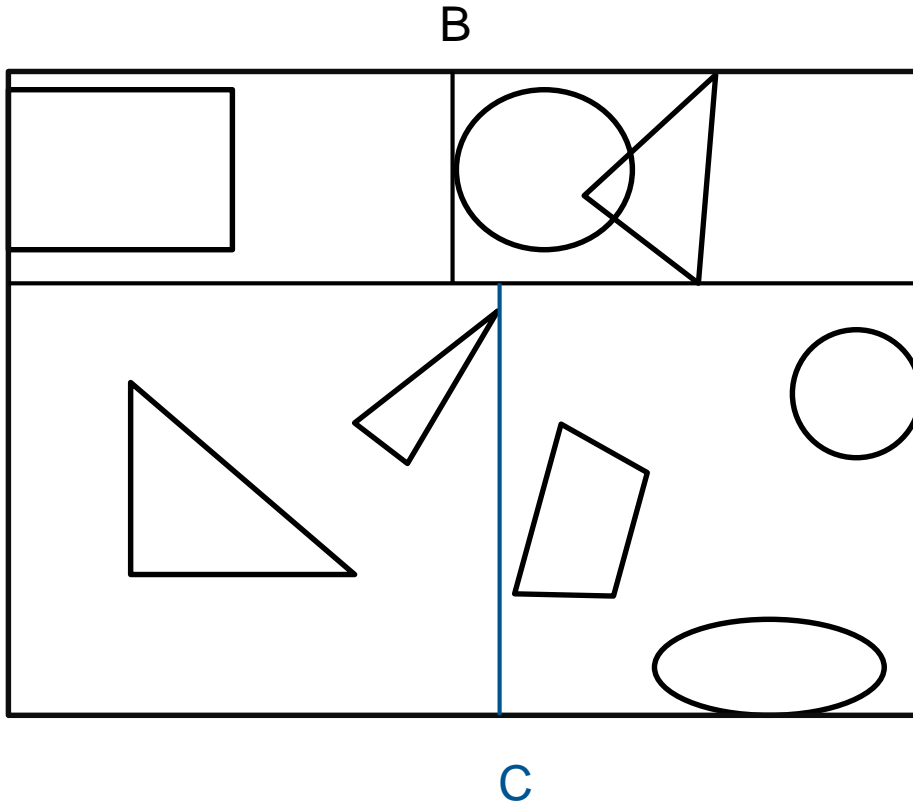
kD-Tree Example (4)



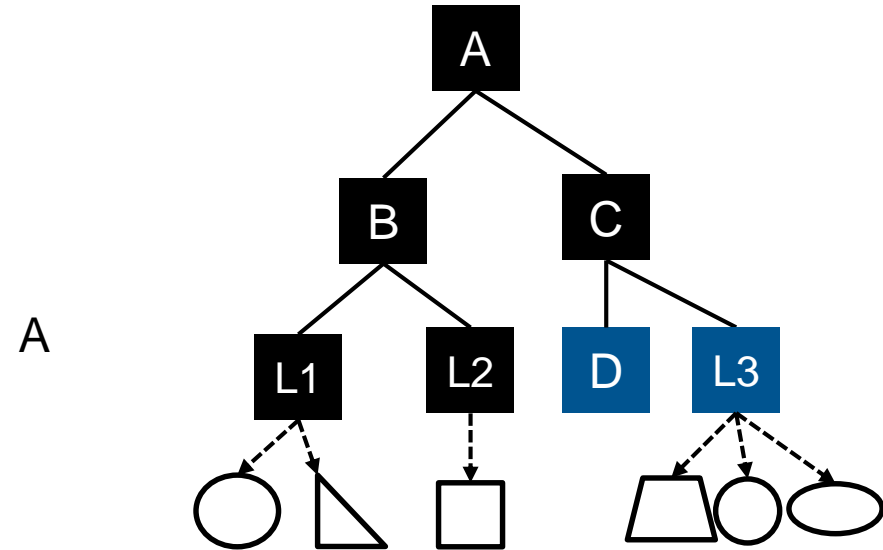
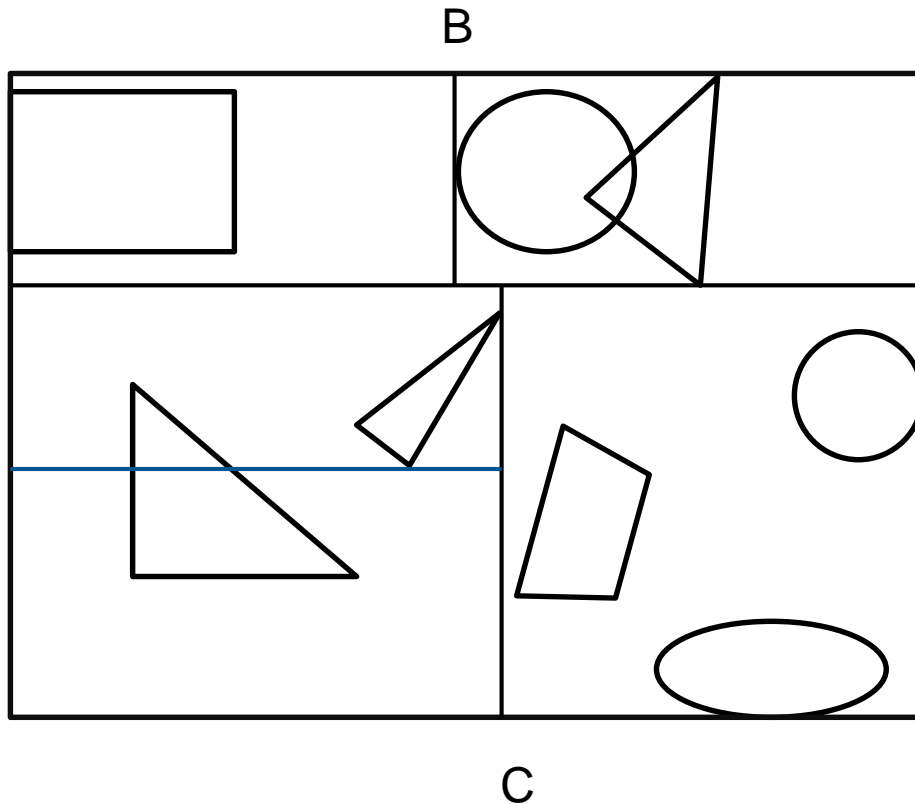
A



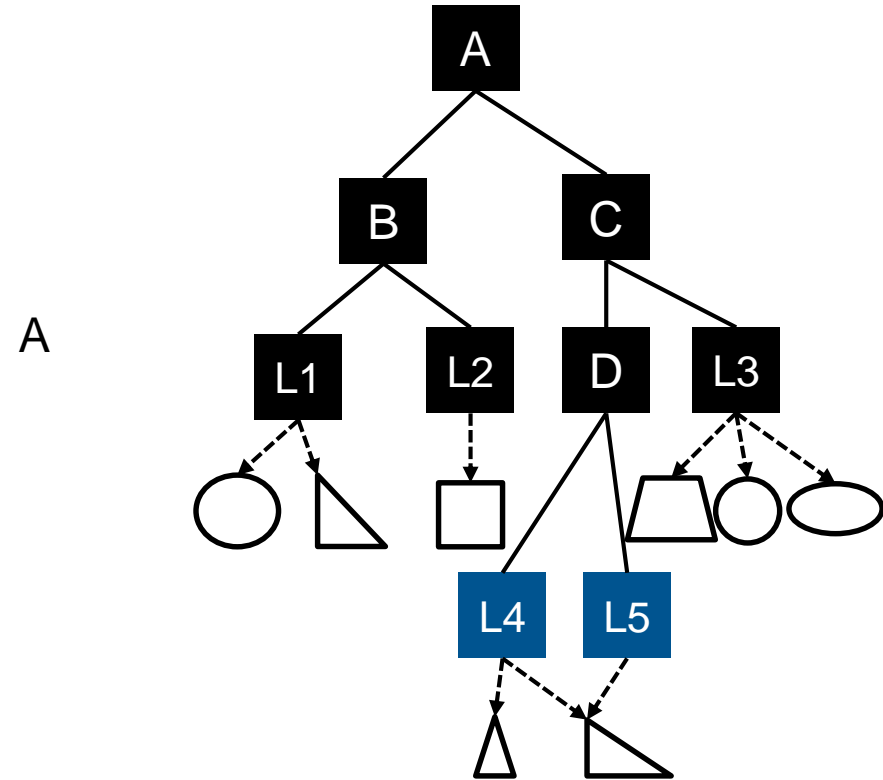
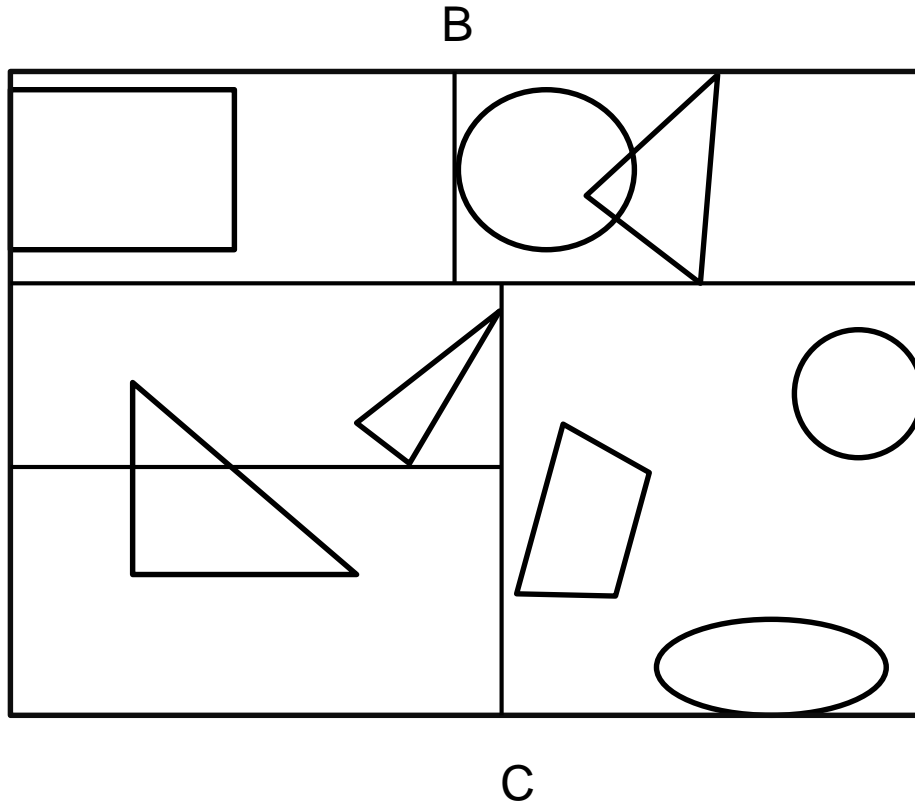
kD-Tree Example (5)



kD-Tree Example (6)



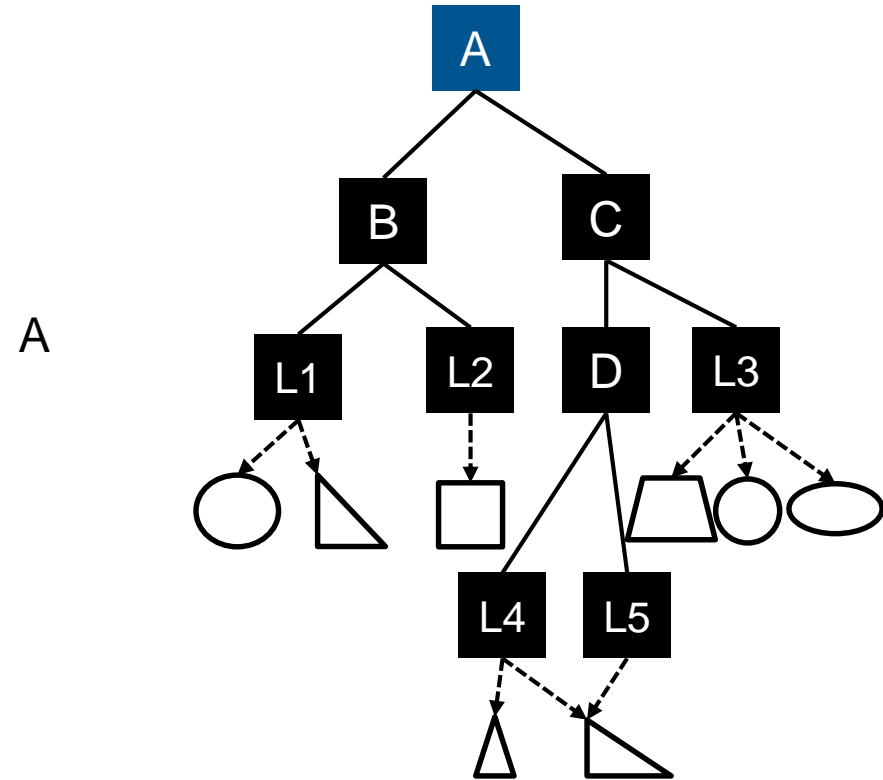
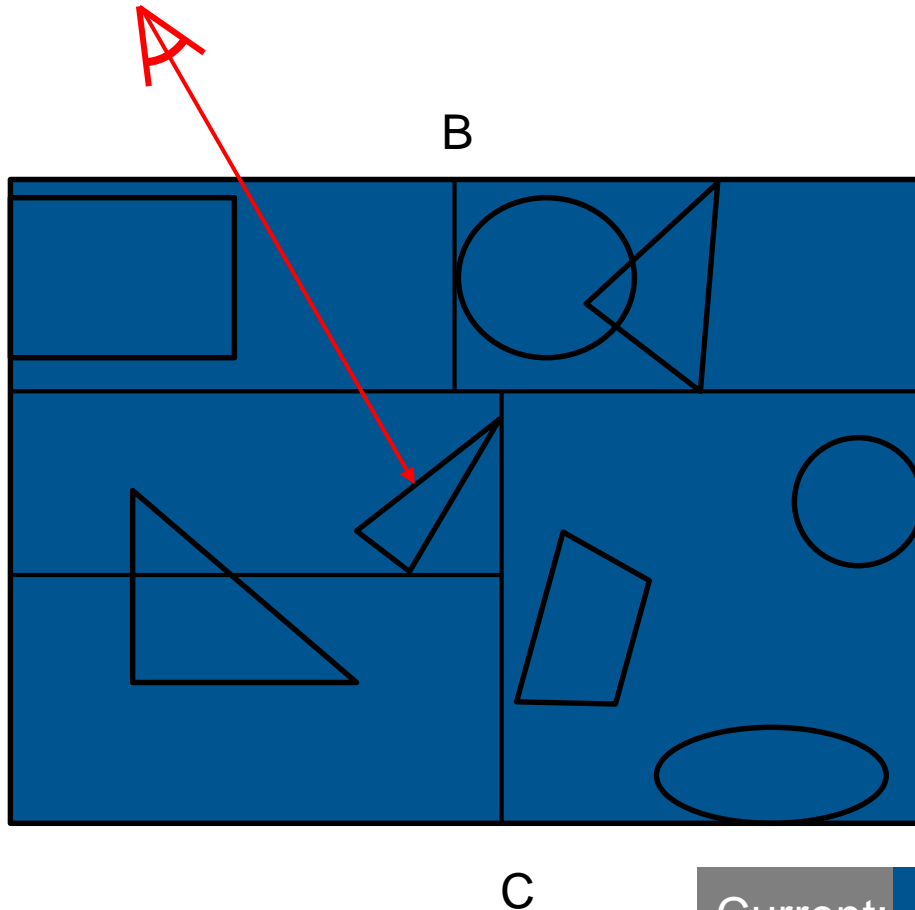
kD-Tree Example (7)



kD-Tree Traversal

- **“Front-to-back” traversal**
 - Traverse child nodes in order along rays
- **Termination criterion**
 - Traversal can be terminated as soon as surface intersection is found **in the current node**
- **Maintain stack of sub-trees still to traverse**
 - More efficient than recursive function calls
 - Algorithms with no or limited stacks are also available (for GPUs)

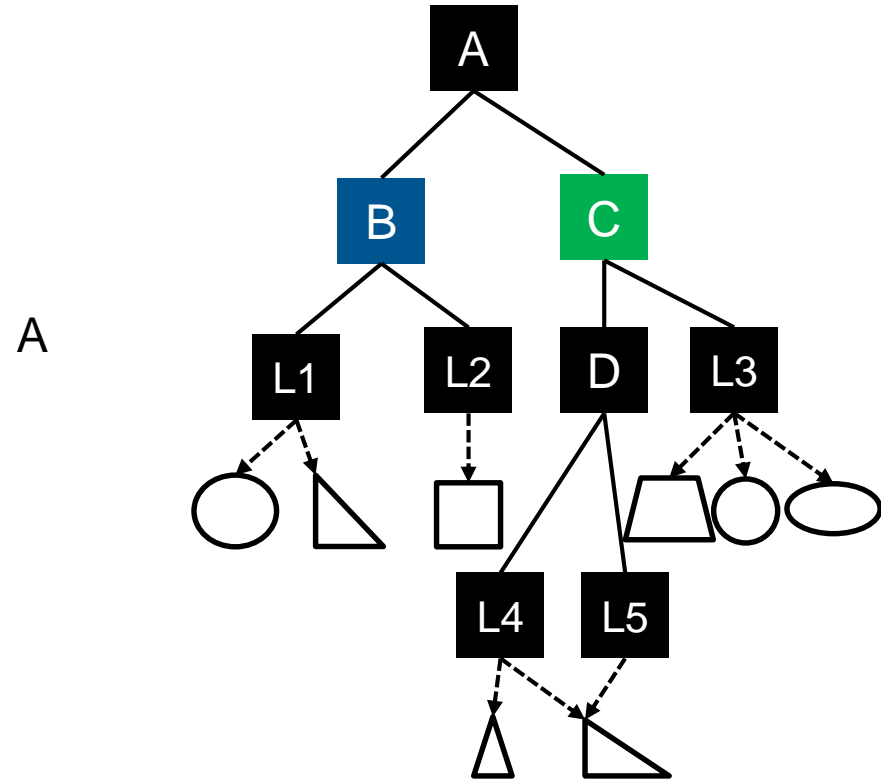
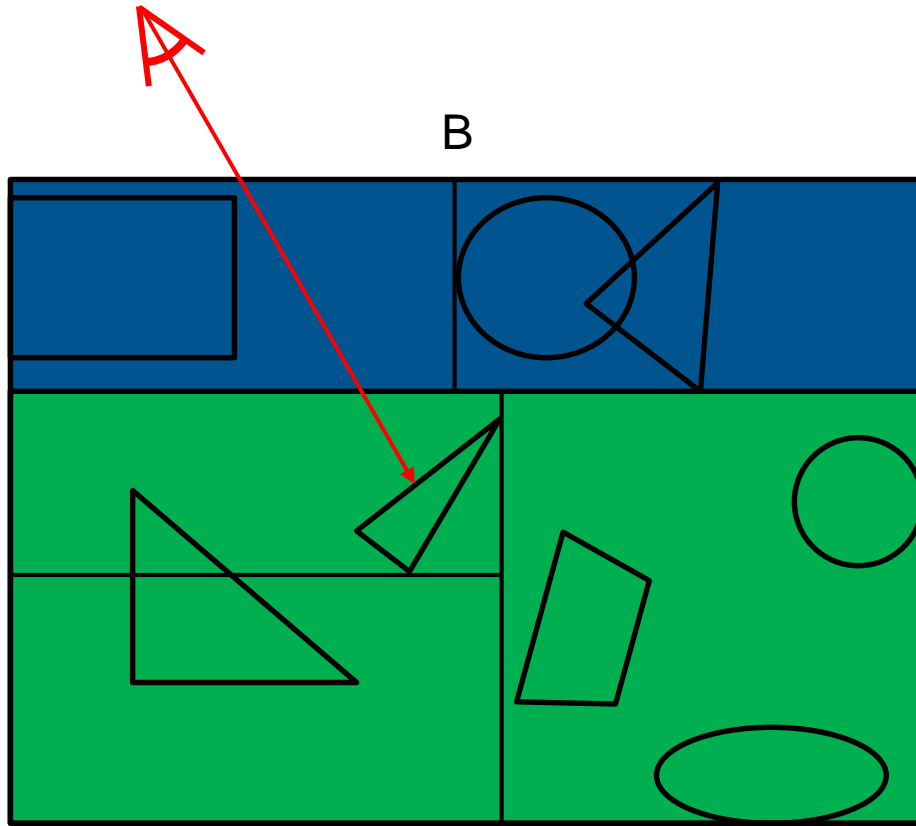
kD-Tree Traversal (1)



Current: **A**

Stack:

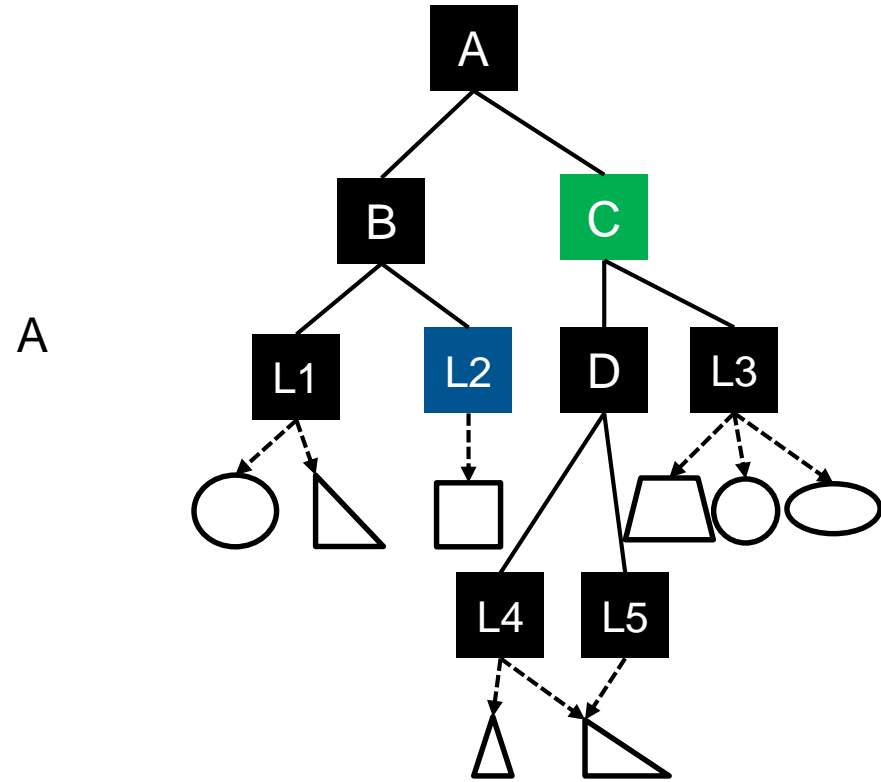
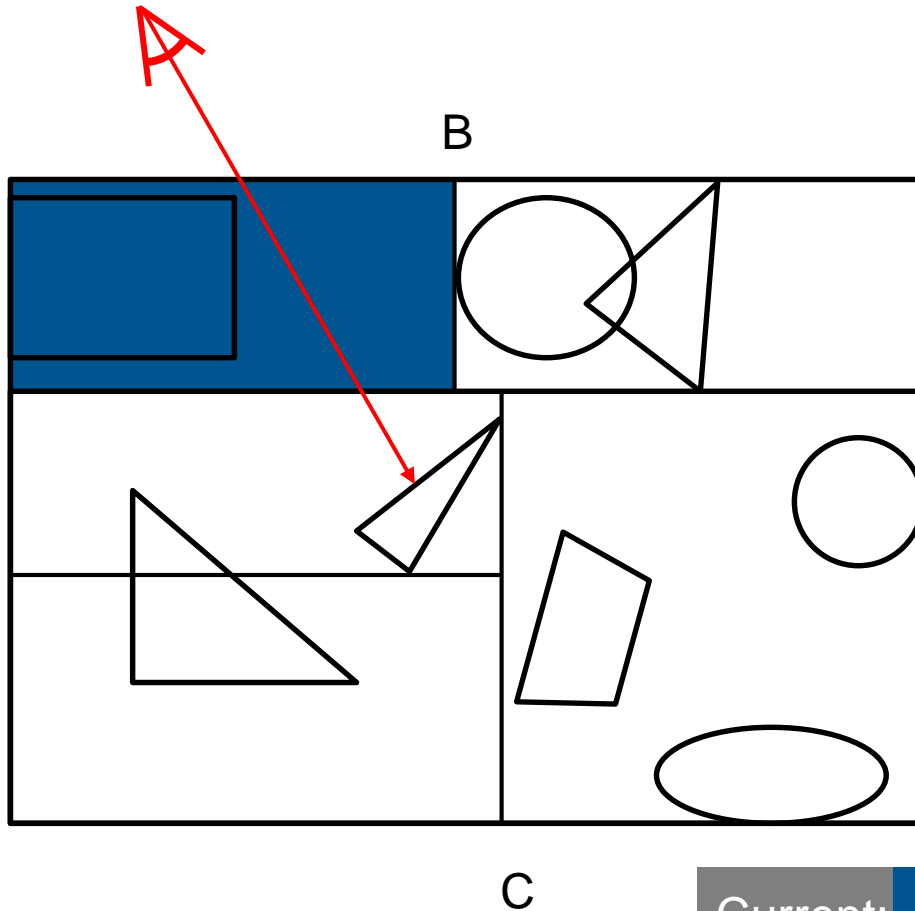
kD-Tree Traversal (2)



Current: **B**

Stack: **C**

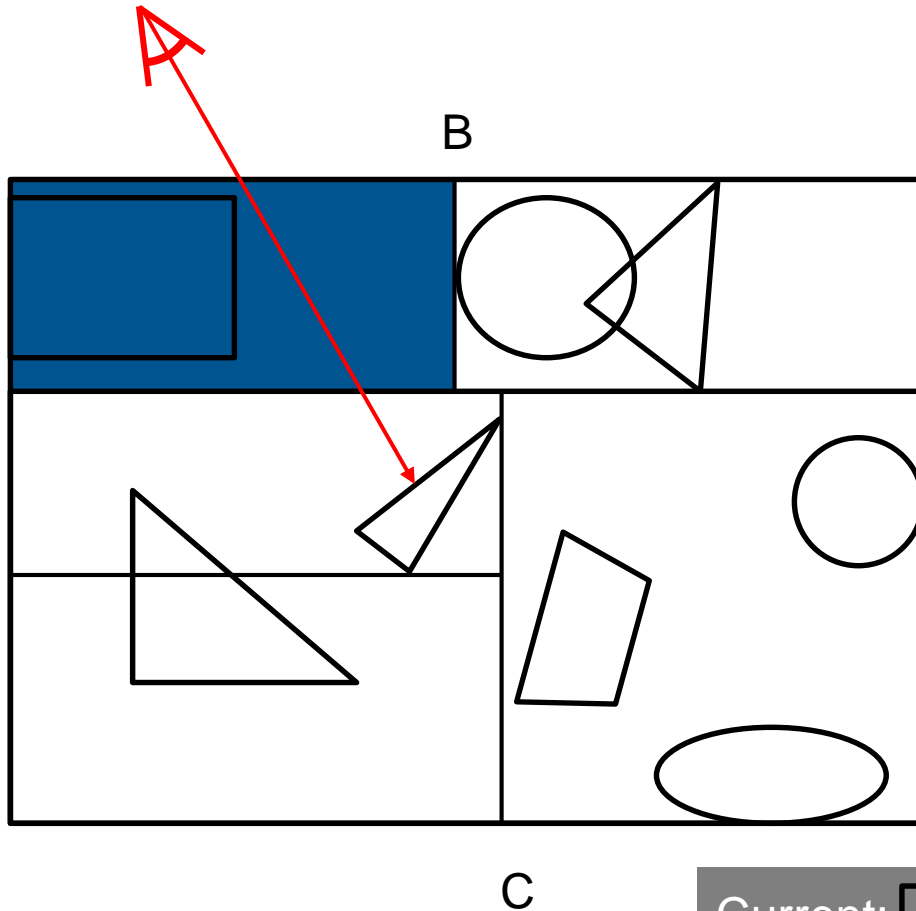
kD-Tree Traversal (3)



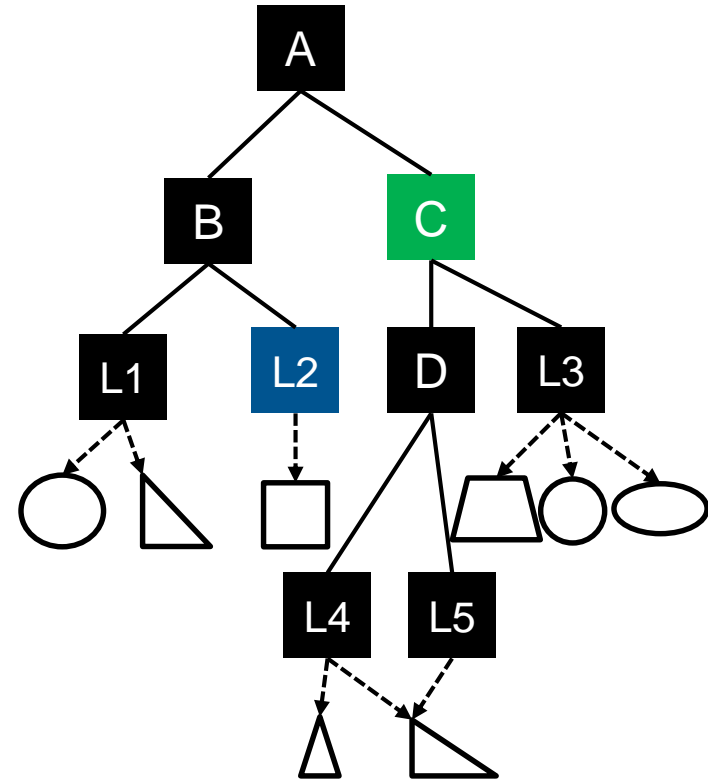
Current: L2

Stack: C

kD-Tree Traversal (4)



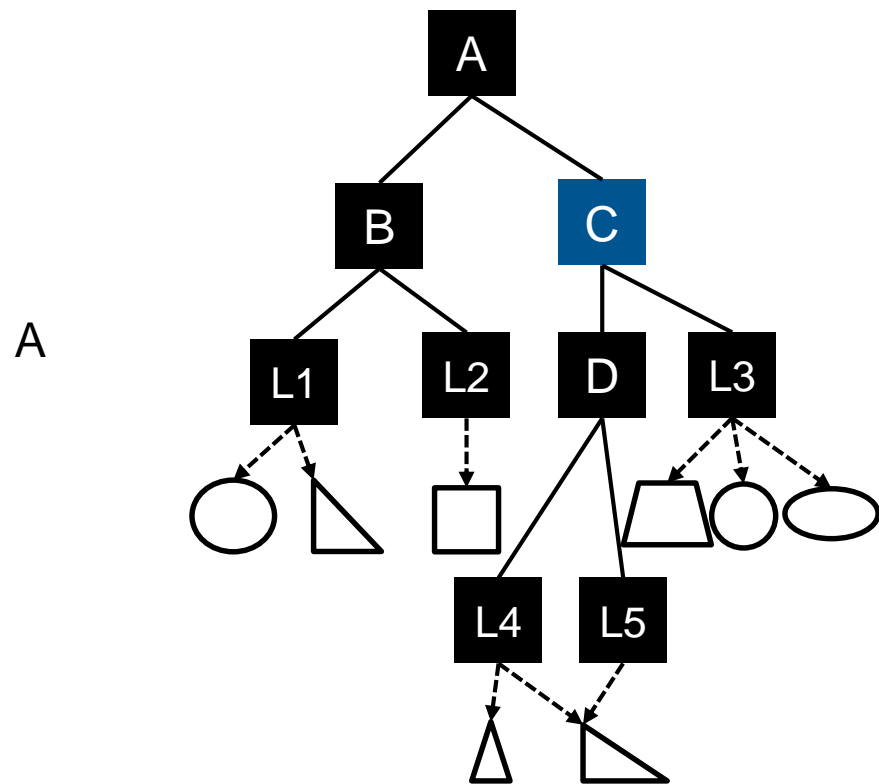
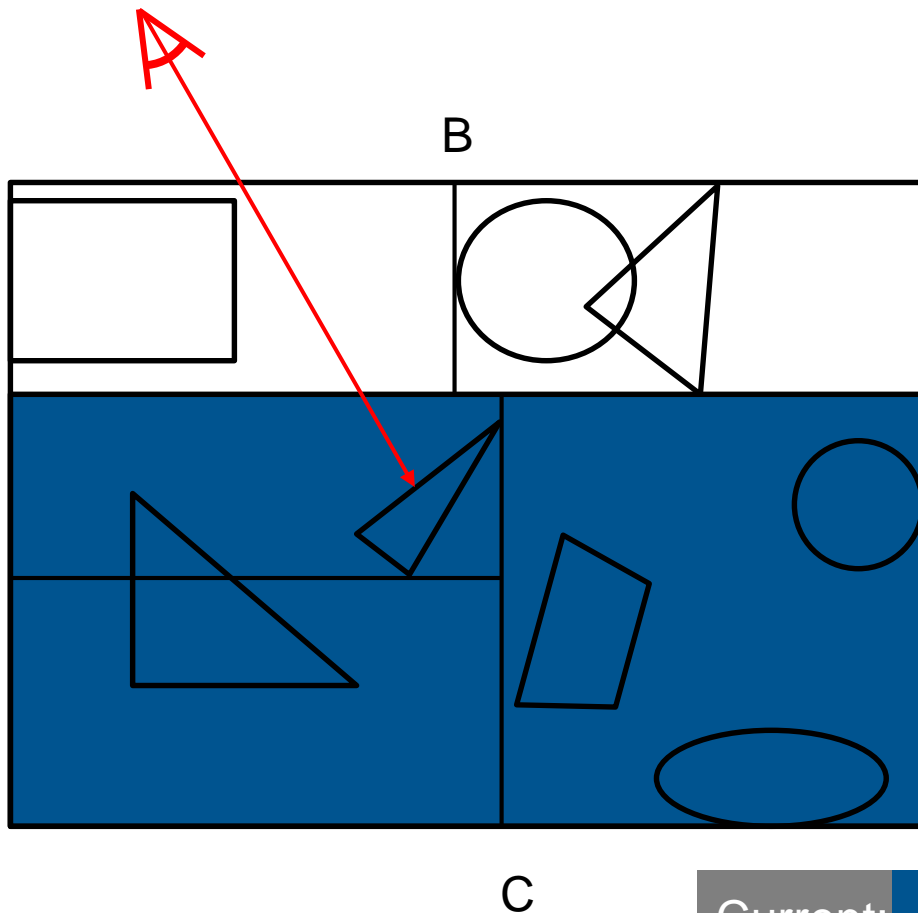
A



Current:

Stack: **C**

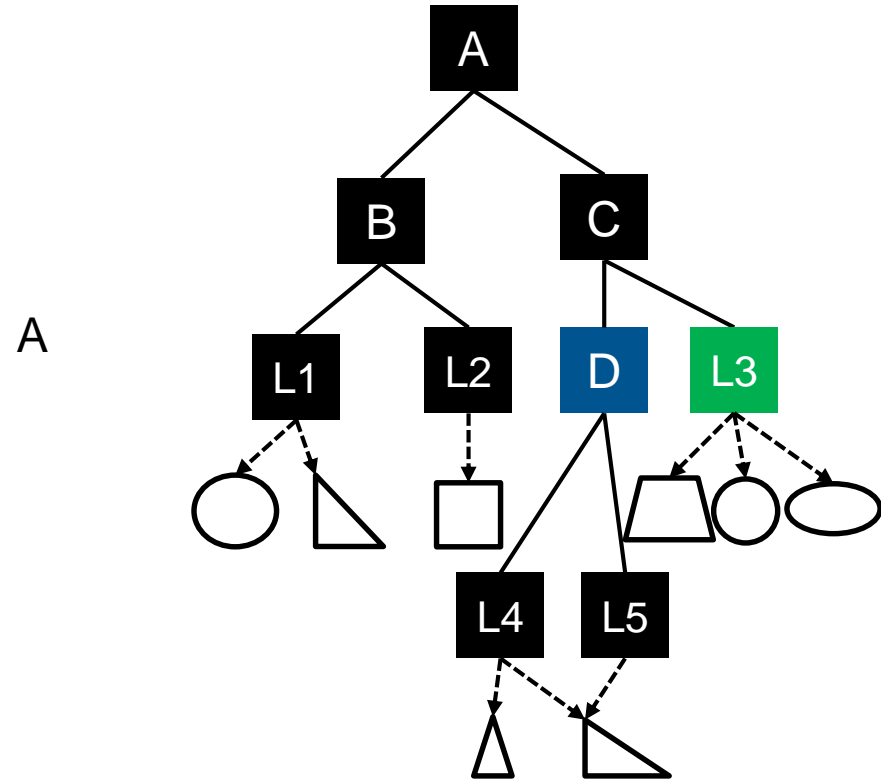
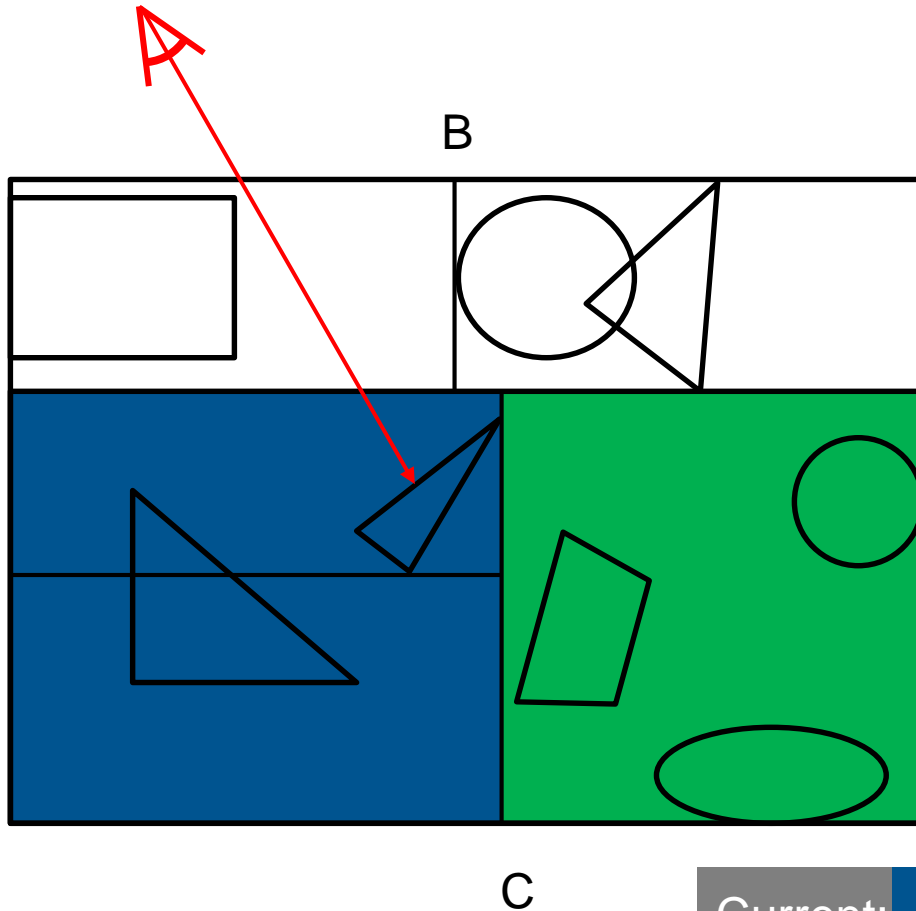
kD-Tree Traversal (5)



Current: **C**

Stack:

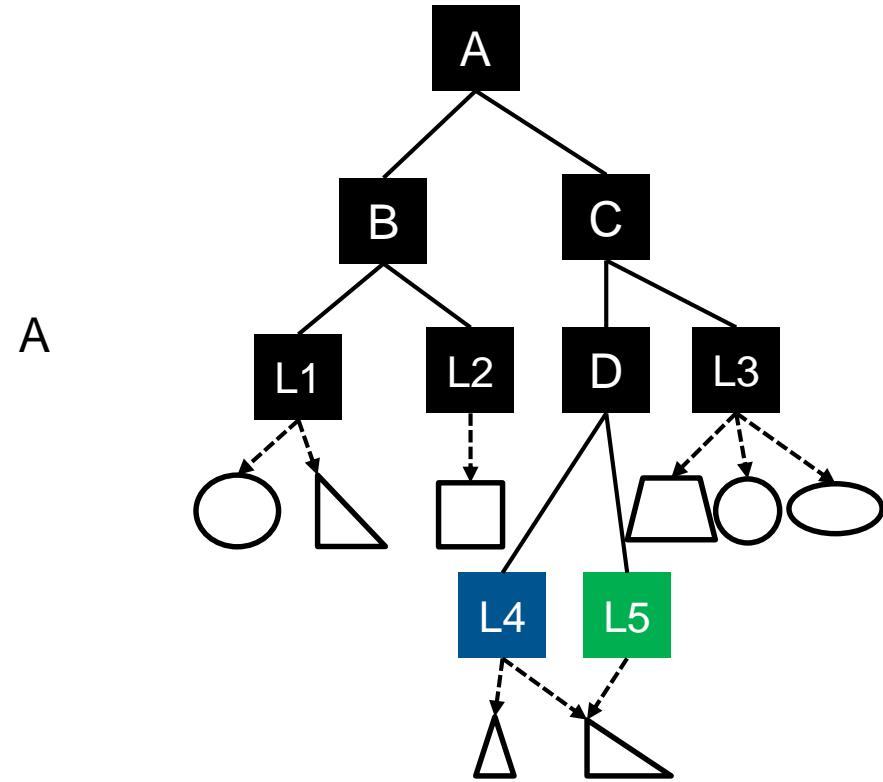
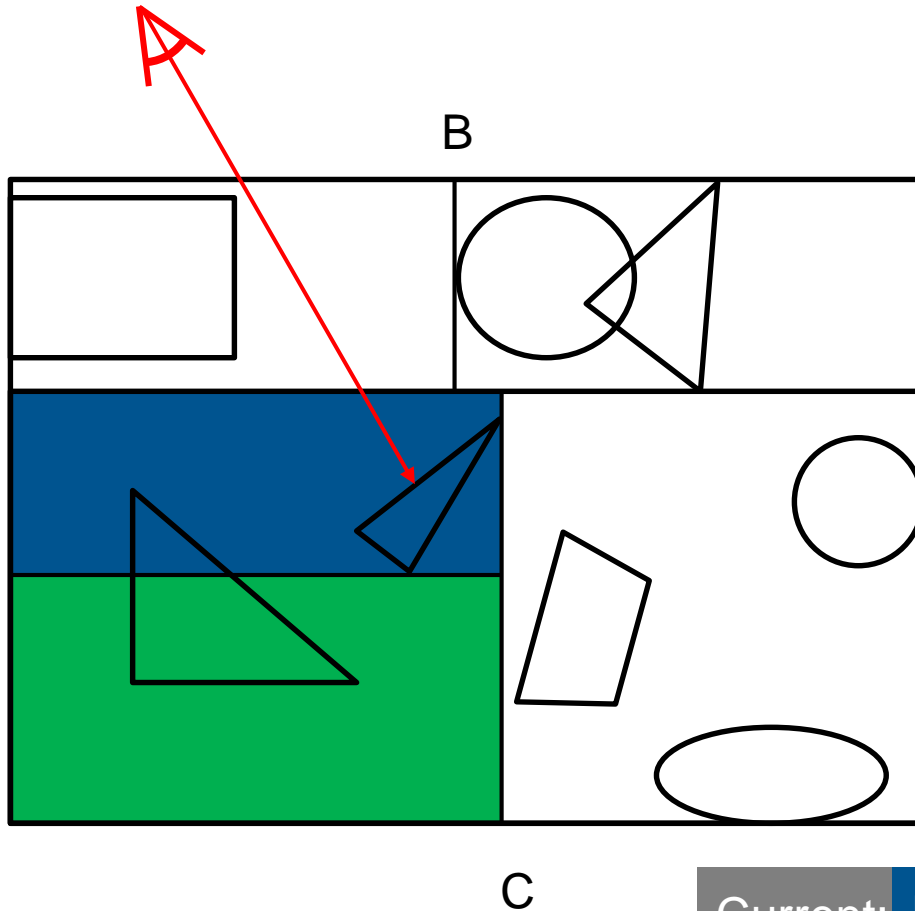
kD-Tree Traversal (6)



Current: **D**

Stack: **L3**

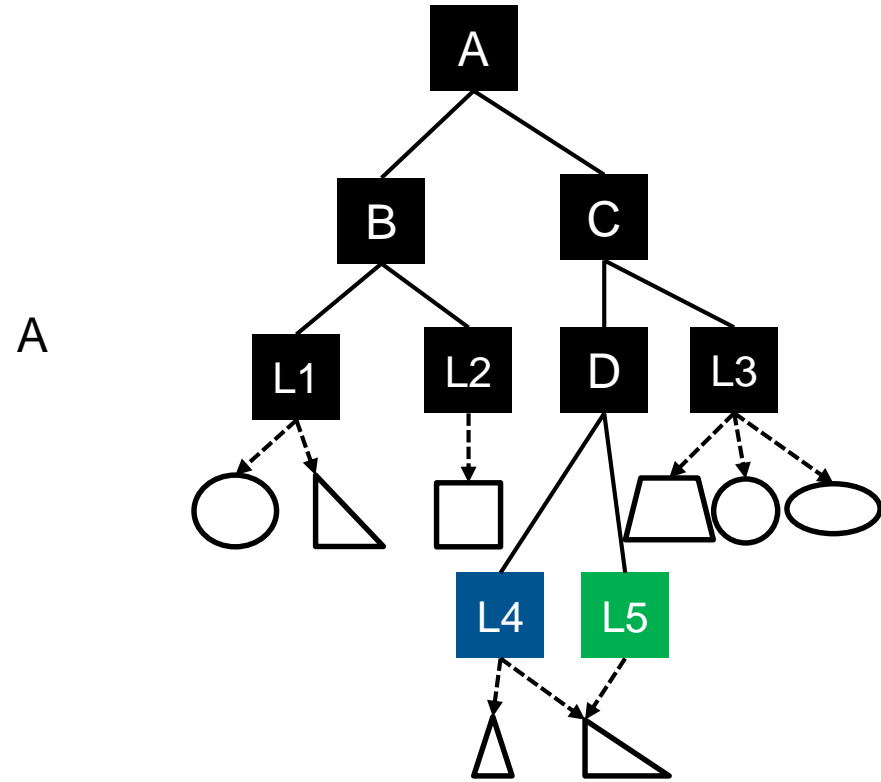
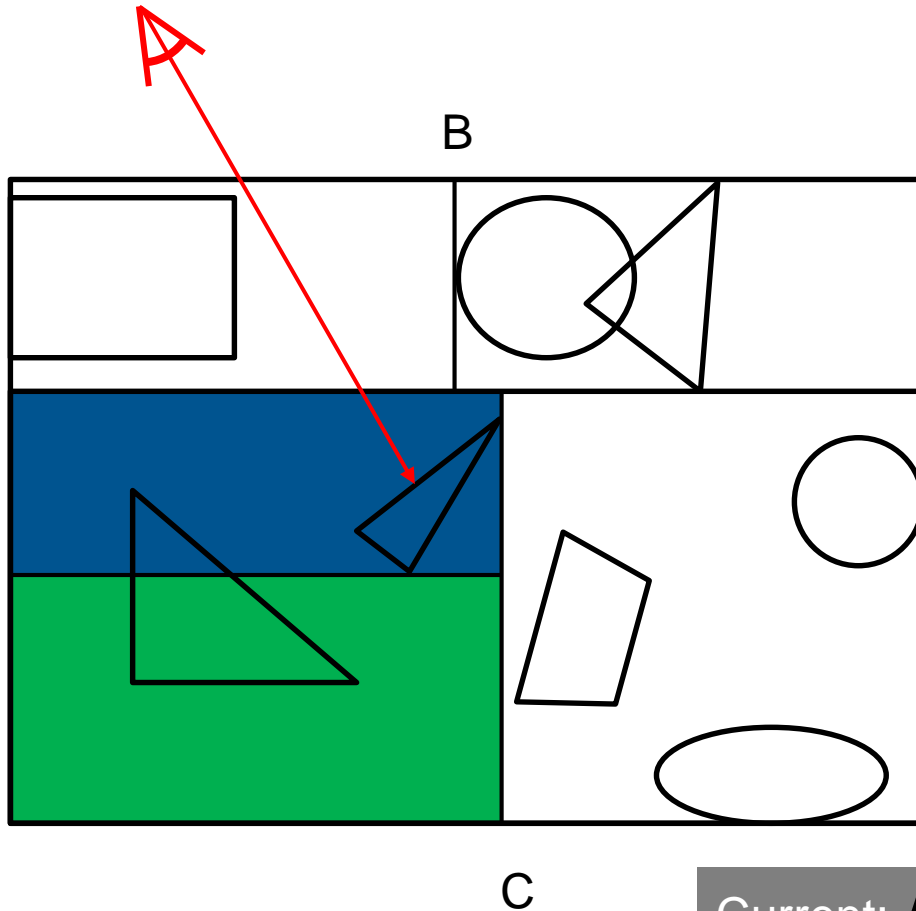
kD-Tree Traversal (7)



Current: L4

Stack: L5 L3

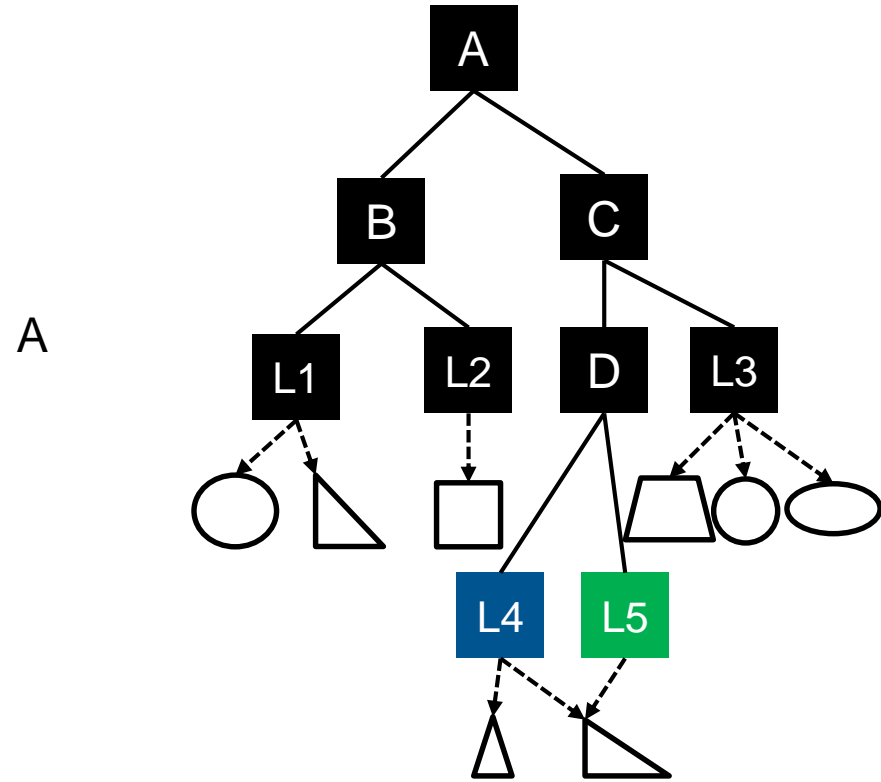
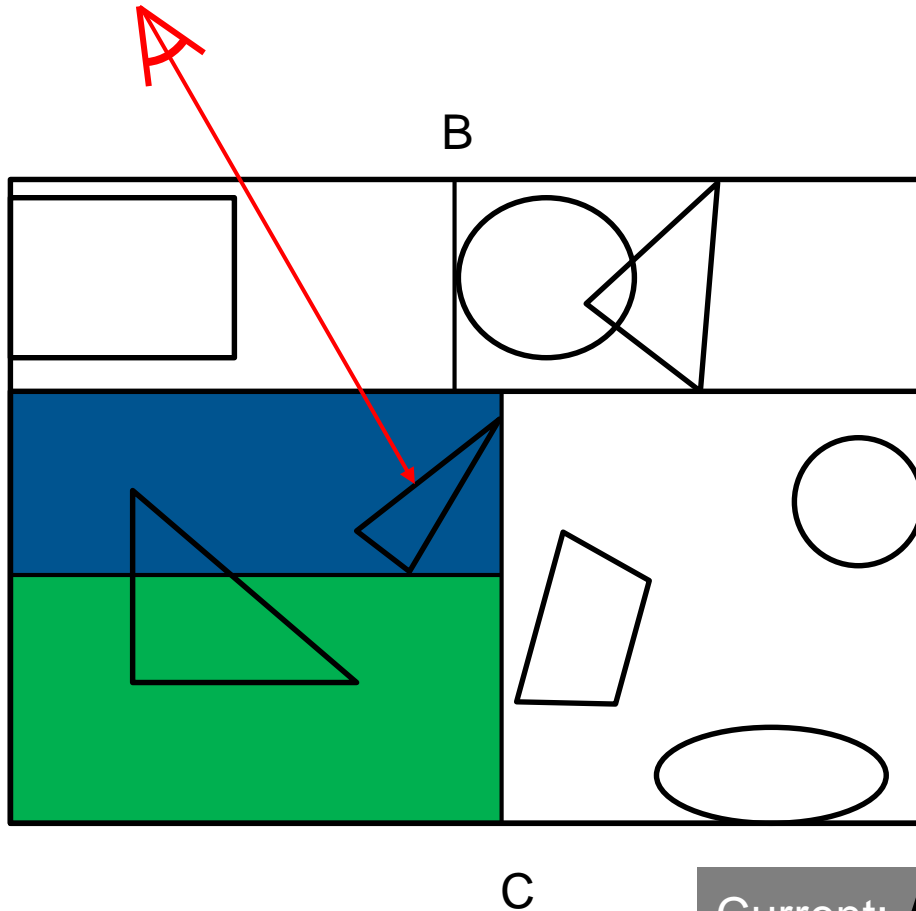
kD-Tree Traversal (8)




Current:  

Stack:  L5  L3

kD-Tree Traversal (9)

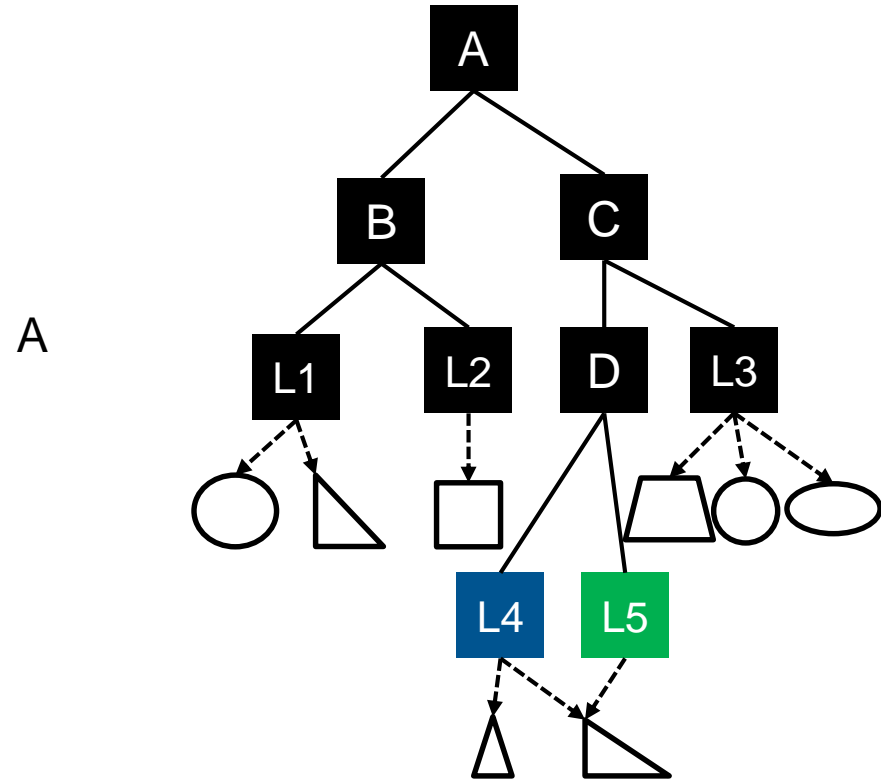
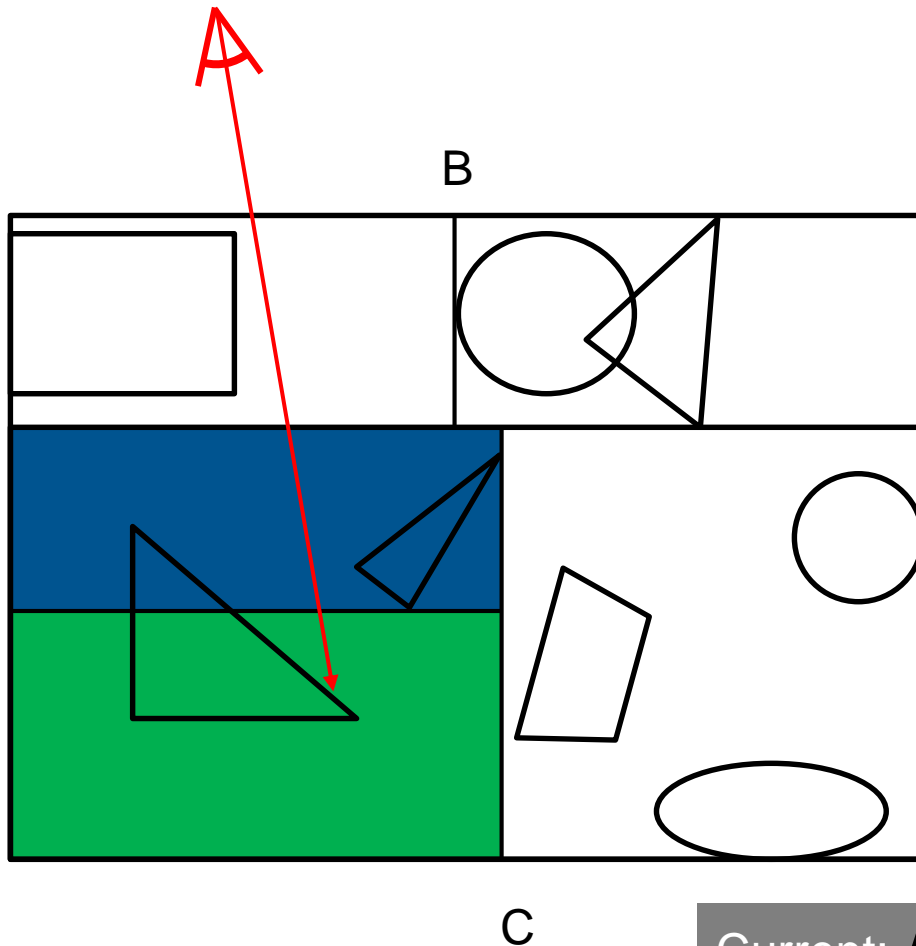





Current:  



Result: 

Stack:  

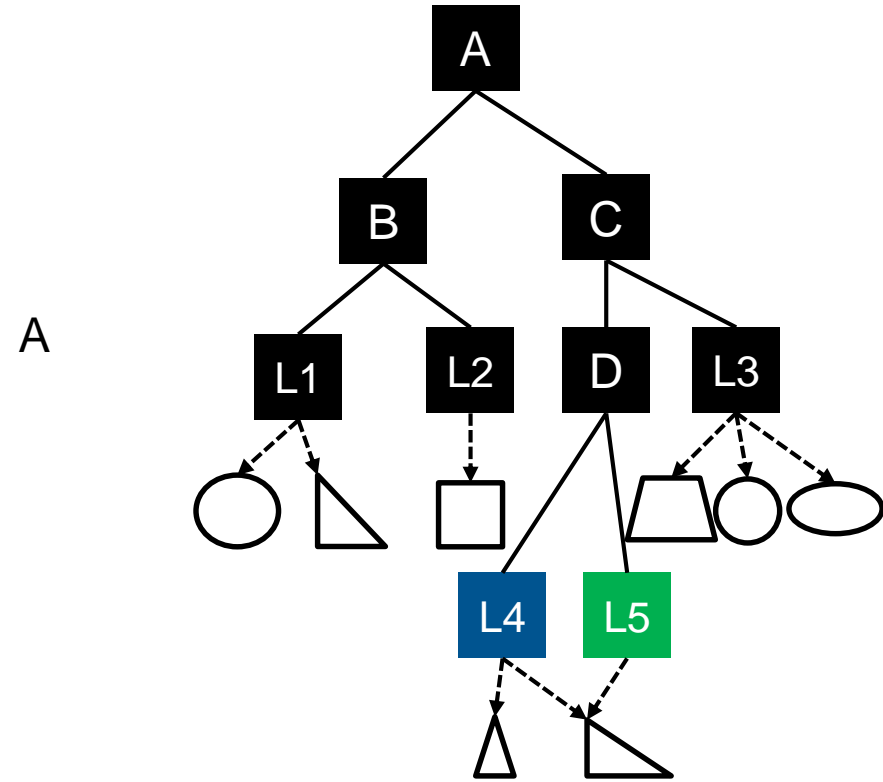
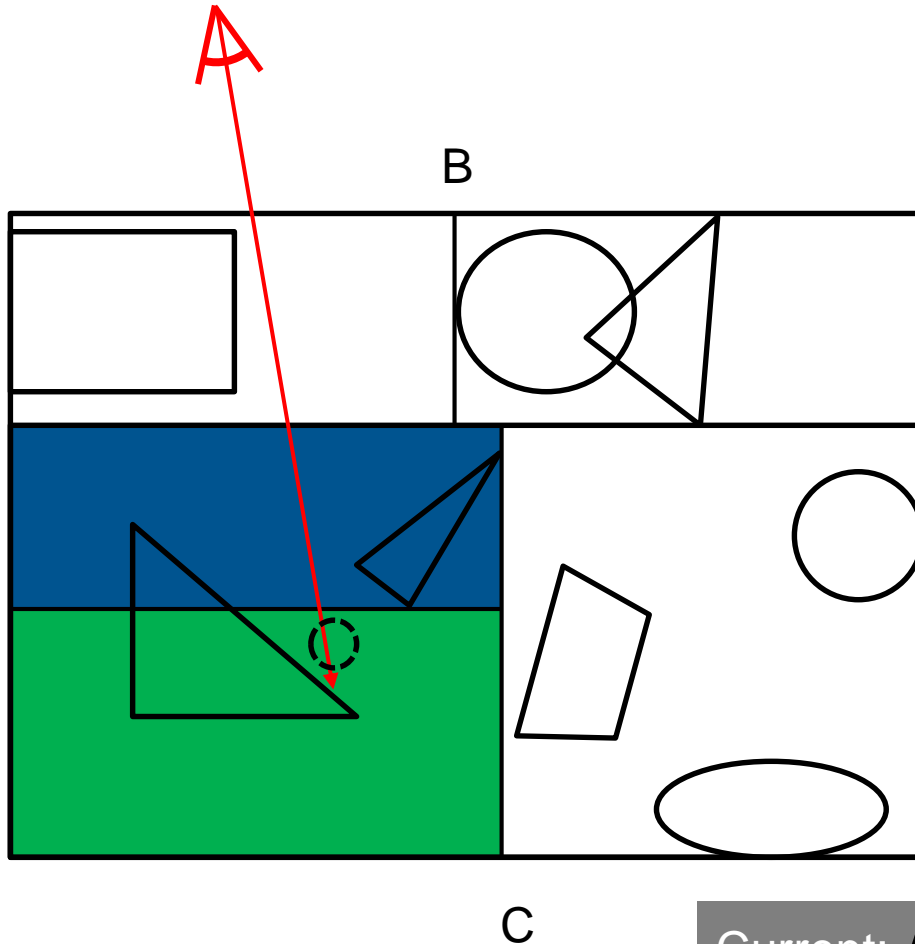
kD-Tree Traversal (10)




Current:   Result: 

Stack:   **CANNOT terminate !!!**

kD-Tree Traversal (11)



Current:  

Result: 

Stack:  

CANNOT terminate !!!

kD-Tree Properties

- **kD-Trees**
 - Split space instead of sets of objects
 - Split into disjoint, fully covering regions
 - **Adaptive**
 - Can handle the “Teapot in a Stadium” well
 - **Compact representation**
 - Relatively little memory overhead per node
 - Node stores:
 - Split location (1D), child pointer (to both children), Axis-flag (often merged into pointer)
 - Can be compactly stored in 8 bytes
 - But replication of objects in (possibly) many nodes
 - Can greatly increase memory usage
 - **Cheap Traversal**
 - One subtraction, multiplication, decision, and fetch
 - But many more cycles due to instruction dependencies
-

Overview: kD-Trees Construction

- **Adaptive**
- **Compact**
- **Cheap traversal**

Exploit Advantages

- **Adaptive**
 - You have to build a good tree
- **Compact**
 - At least use the compact node representation (8-byte)
 - You can't be fetching whole cache lines every time
- **Cheap traversal**
 - No sloppy inner loops! (one subtract, one multiply!)

Building kD-trees

- **Given:**
 - Axis-aligned bounding box (“cell”)
 - List of geometric primitives (triangles?) touching cell
- **Core operation:**
 - Pick an axis-aligned plane to split the cell into two parts
 - Sift geometry into two batches (some redundancy)
 - Recurse

Building kD-trees

- **Given:**
 - Axis-aligned bounding box (“cell”)
 - List of geometric primitives (triangles?) touching cell
- **Core operation:**
 - Pick an axis-aligned plane to split the cell into two parts
 - Sift geometry into two batches (some redundancy)
 - Recurse
 - Termination criteria!

“Intuitive” kD-Tree Building

- **Split Axis**
 - Round-robin; largest extent
- **Split Location**
 - Middle of extent; median of geometry (balanced tree)
- **Termination**
 - Target # of primitives, limited tree depth

“Intuitive” kD-Tree Building

- **Split Axis**
 - Round-robin; largest extent
- **Split Location**
 - Middle of extent; median of geometry (balanced tree)
- **Termination**
 - Target # of primitives, limited tree depth
- **All of these techniques are **NOT** very clever**

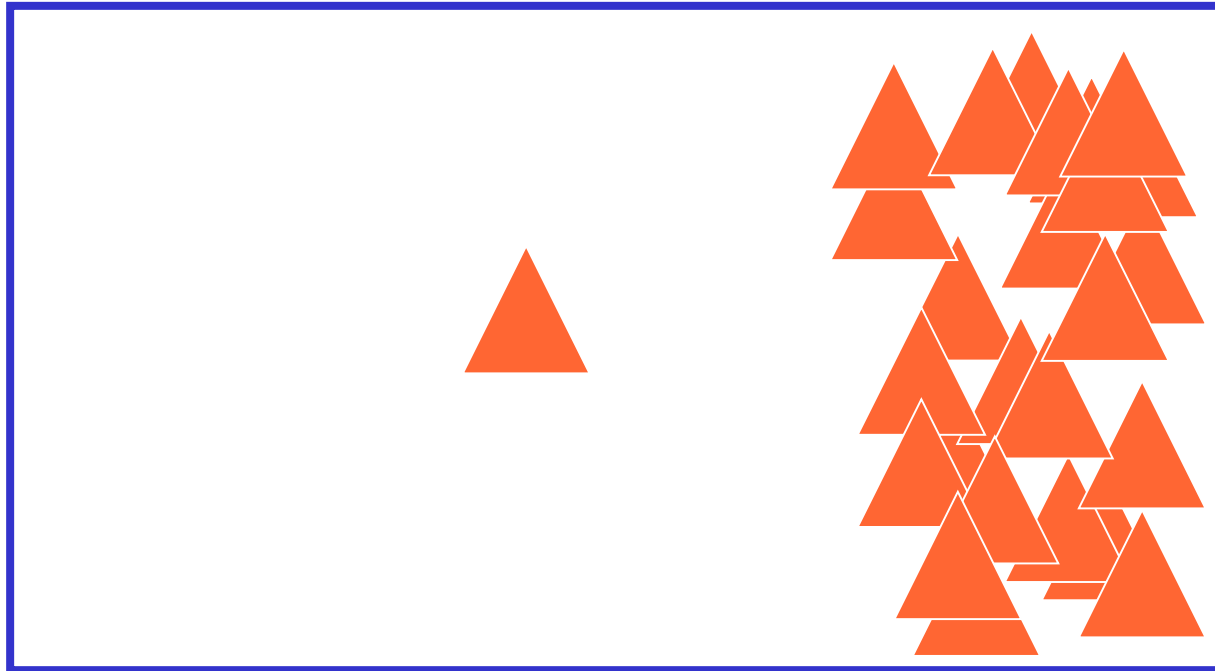
Building good kD-trees

- **What split do we really want?**
 - Clever Idea: The one that makes ray tracing cheap
 - Write down an expression of cost and minimize it
 - *Cost Optimization*
- **What is the cost of tracing a ray through a cell?**
 - **Surface Area Heuristic (SAH)**

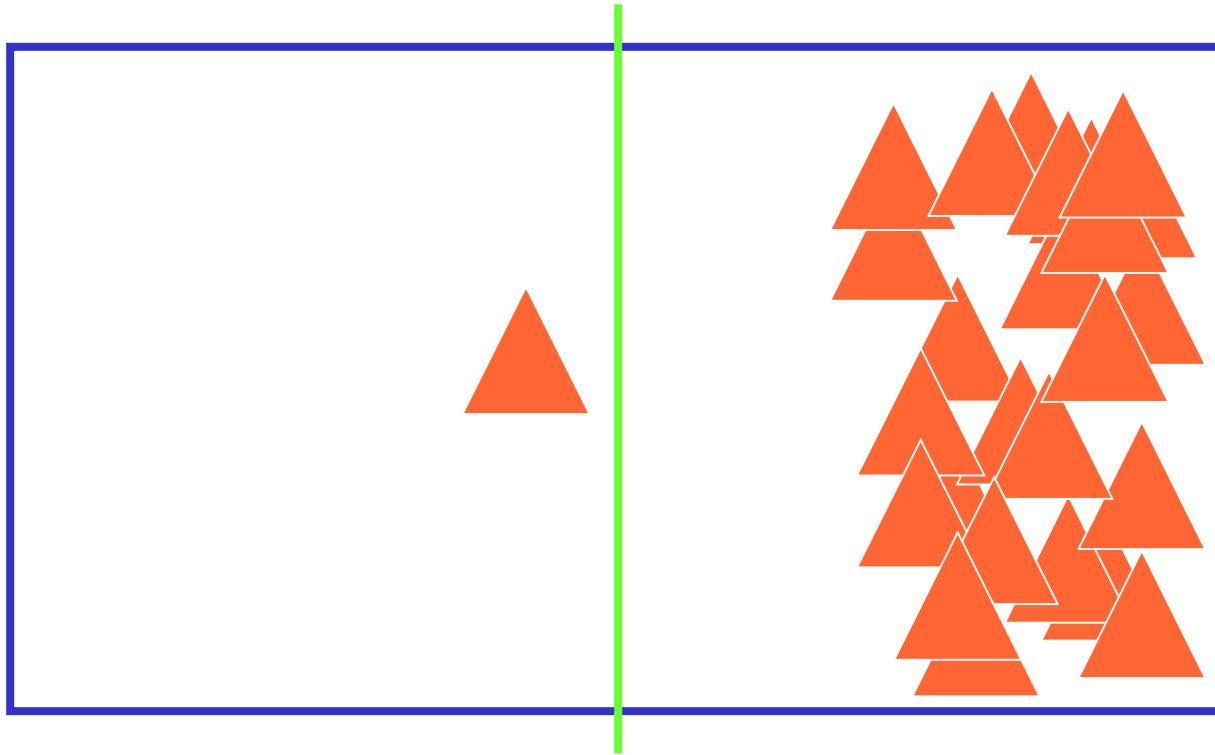
$$\text{Cost}(\text{cell}) = C_{\text{trav}} + \text{Prob}(\text{hit L}) * \text{Cost}(\text{L}) + \text{Prob}(\text{hit R}) * \text{Cost}(\text{R})$$

- Cost of traversal of the inner node itself, plus
 - Relative probability of hitting one child, times
 - Cost of hitting that child
 - Same for other child
-

Splitting with Cost in Mind

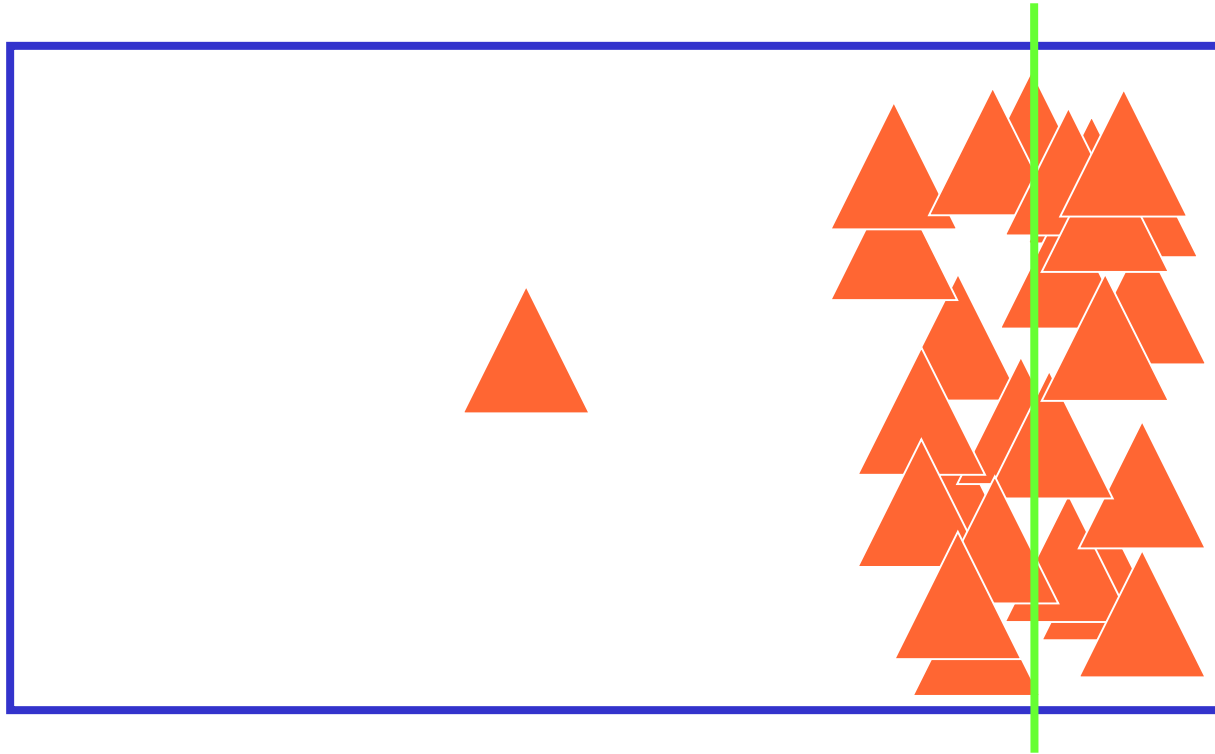


Split in the middle



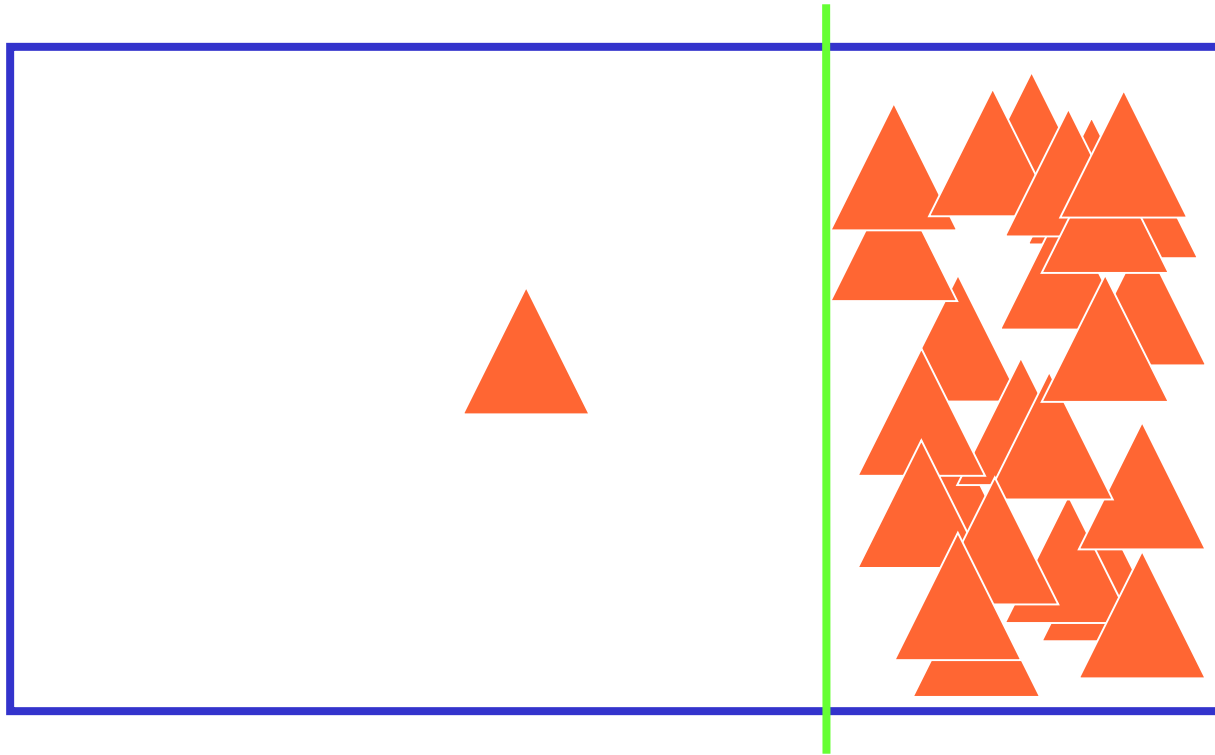
- **Makes the L & R probabilities equal**
 - **Pays no attention to the L & R costs**
-

Split at the Median



- **Makes the L & R costs equal**
 - **Pays no attention to the L & R probabilities**
-

Cost-Optimized Split



- **Automatically and rapidly isolates complexity**
 - **Produces large chunks of empty space**
-

Building good kD-trees

- **Need the probabilities**
 - Turns out to be proportional to *surface area* (SA)
 - *Not* the volume
- **Need the child cell costs**
 - Simple *triangle count* works great (very rough approx.)
 - Many attempts to improve this did not work out

$$\begin{aligned}\text{Cost}(c) &= C_{\text{trav}} + \text{Prob}(\text{hit L}) * \text{Cost}(\text{L}) + \text{Prob}(\text{hit R}) * \text{Cost}(\text{R}) \\ &= C_{\text{trav}} + \text{SA}(\text{L})/\text{SA}(c) * \text{TriCount}(\text{L}) + \text{SA}(\text{R})/\text{SA}(c) * \text{TriCount}(\text{R})\end{aligned}$$

Termination Criteria

- **When should we stop splitting?**
 - Another clever idea: When splitting does not help any more.
 - Use the cost estimates in your termination criteria
- **Threshold of cost improvement**
 - But stretch decision over multiple levels, to avoid local minima
- **Threshold of cell size**
 - Absolute (!) probability so small there is no point in going on

Building good kD-trees

- **Basic build algorithm**
 - Pick an axis, or optimize across all three
 - Build a set of candidate split locations
 - Based on BBox of triangles (in/out events) or
 - Predefined locations (fixed number of bins across bbox axis)
 - Sort the triangle events or bin them
 - Walk through candidates to find minimum cost split
 - **Characteristics of the tree you're looking for**
 - Deep and thin
 - Typical depth of 50-100,
 - About 2 triangles per leaf,
 - Big empty cells
-

Building kD-trees quickly

- **Very important to build good trees first**
 - Otherwise you have no basis for comparison
- **Don't give up cost optimization!**
 - Use the math, Luke...
- **Luckily, lots of flexibility...**
 - Axis picking (“hack” pick vs. full optimization)
 - Candidate picking (bboxes, exact; binning, sorting)
 - Termination criteria (“knob” controlling tradeoff)

Building kD-trees quickly

- **Remember, profile first! Where's the time going?**
 - Split personality
 - Memory traffic all at the top (NO cache misses at bottom)
 - Sifting through bajillion triangles to pick one split (!)
 - Hierarchical building?
 - Computation mostly at the bottom
 - Lots of leaves, need more exact candidate info
 - Lazy building?
 - Change criteria during the build?
-

Fast Ray Tracing w/ kD-Trees

- **Adaptive**
 - Build a cost-optimized kD-tree w/ the surface area heuristic
- **Compact**
- **Cheap traversal**

What's in a node?

- **A kD-tree internal node needs:**
 - Am I a leaf?
 - Split axis
 - Split location
 - Pointers to children

Compact (8-byte) Nodes

- **kD-Tree node can be packed into 8 bytes**
 - Split location
 - 32 bit float
 - Always two children, put them side-by-side
 - Only one 32-bit pointer
 - Leaf flag + Split axis
 - 2 bits

Compact (8-byte) Nodes

- **kD-Tree node can be packed into 8 bytes**
 - Split location
 - 32 bit float
 - Always two children, put them side-by-side
 - Only one 32-bit pointer
 - Leaf flag + Split axis
 - 2 bits
- **So close! Sweep those 2 bits under the rug...**
 - Encode bits in lowest 2 bits of pointer
 - Bits are not used as structure is multiple of 8, anyway

No Bounding Box!

- **kD-Tree node corresponds to an AABB**
- **Does not mean it has to *contain* one**
 - Would be 24 bytes: 4X explosion (!)

Memory Layout

- **Cache lines are much bigger than 8 bytes!**
 - Advantage of compactness lost with poor layout
- **Pretty easy to do something reasonable**
 - Building depth first, watching memory allocator

Other Data

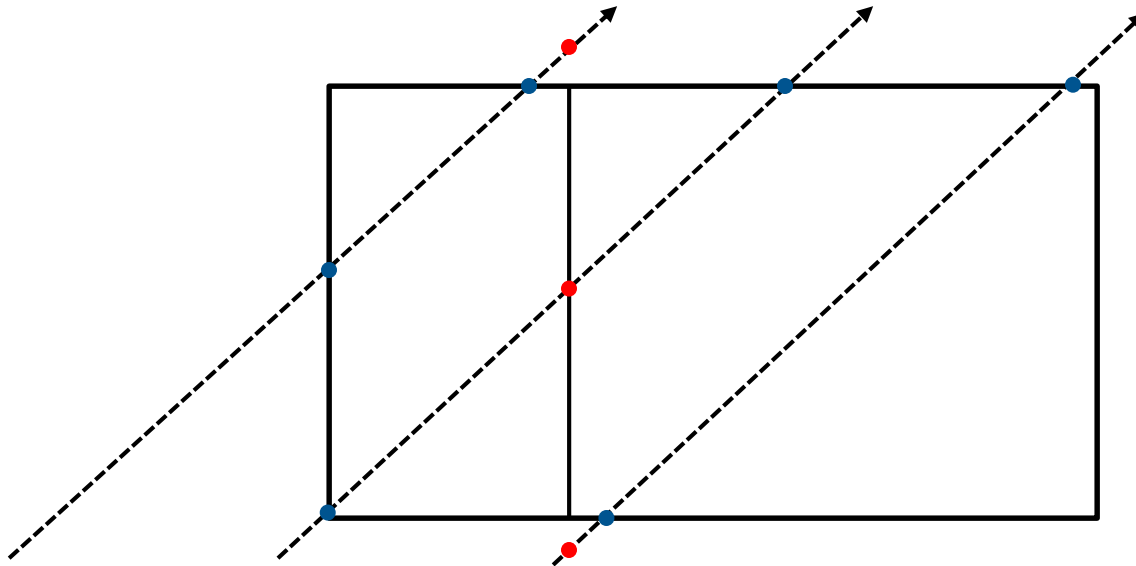
- **Memory should be separated by rate of access**
 - Frames
 - << Pixels
 - << Samples [Ray Trees]
 - << Rays [Shading (not quite)]
 - << Triangle intersections
 - << Tree traversal steps
- **Example: pre-processed triangle, shading info...**

Fast Ray Tracing w/ kD-Trees

- **Adaptive**
 - Build a cost-optimized kD-tree w/ the surface area heuristic
- **Compact**
 - Use an 8-byte node
 - Lay out your memory in a cache-friendly way
- **Cheap traversal**

kD-Tree Traversal Operation

- **Maintain on a stack**
 - Entry and exit distance to node (t_{near} and t_{far})
- **Three cases**
 - $t_{\text{split}} > t_{\text{far}}$: Go only to near node
 - $t_{\text{near}} < t_{\text{split}} < t_{\text{far}}$: Go to both (**use stack**)
 - $t_{\text{split}} < t_{\text{near}}$: Go only to far node
- **Near and far depend on direction of ray!**



kD-Tree Traversal: Inner Loop

Given (node, t_near, t_far)

```
while ( ! node.isLeaf() )
```

```
{
```

```
    t_at_split = ( split_location - ray->origin[split_axis] ) * ray->inv_dir[split_axis]
```

```
    if ( t_split <= t_min)
```

```
        continue with (far child, t_split, t_far)    // hit either far child or none
```

```
    if ( t_split >= t_max)
```

```
        continue with (near child, t_min, t_split)    // hit near child only
```

```
    // hit both children
```

```
    push (far child, t_split, t_max) onto stack
```

```
    continue with (near child, t_min, t_split)
```

```
}
```

Optimize Your Inner Loop

- **kD-Tree traversal is the most critical kernel**
 - It happens about a zillion times
 - It's tiny
 - Sloppy coding *will* show up
- **Optimize, Optimize, Optimize**
 - Remove recursion and minimize stack operations
 - Other standard tuning & tweaking



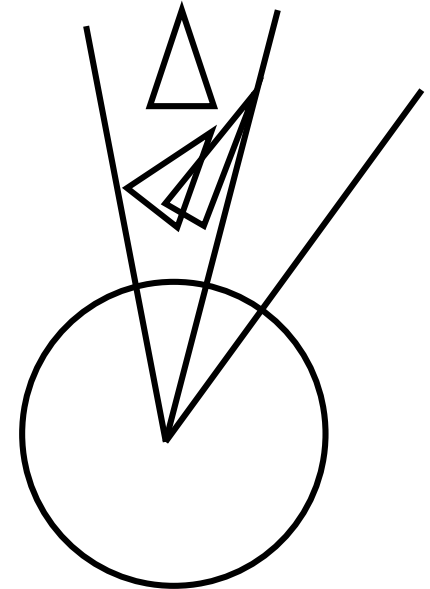
Can it go faster?

- **How do you make fast code go faster?**
- **Parallelize it!**
 - Not covered here

Directional Partitioning

- **Applications**

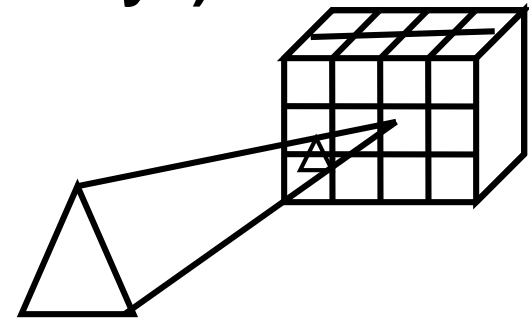
- Useful only for rays that start from a single point
 - Camera
 - Point light sources
- Preprocessing of visibility
- Requires scan conversion of geometry
 - For each object locate where it is visible
 - Expensive and linear in # of objects



- **Generally not used for primary rays**

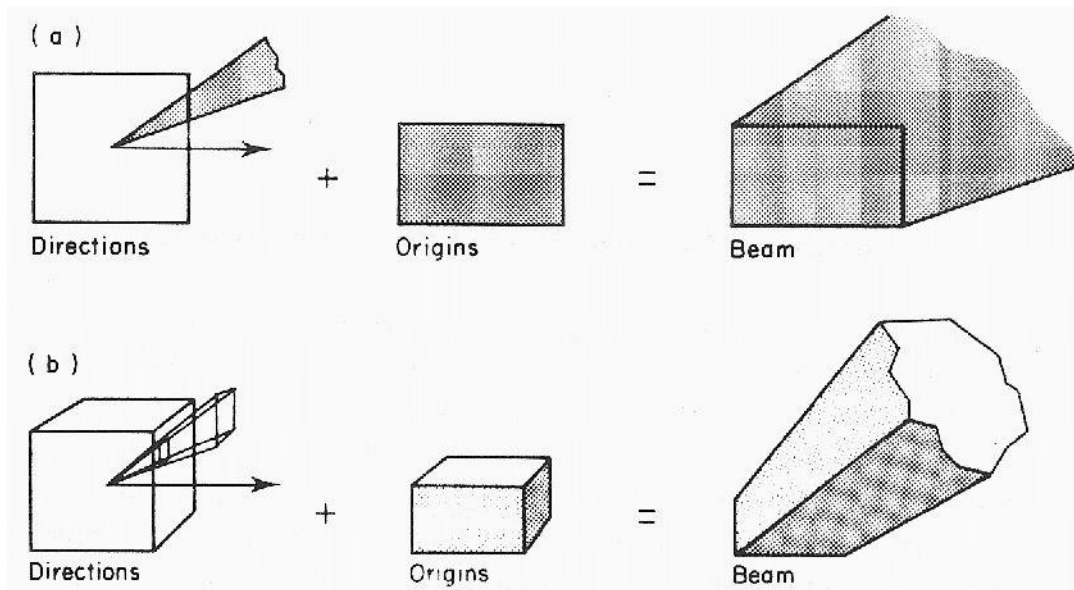
- **Variation: Light buffer (for shadow rays)**

- Lazy and conservative evaluation
- Store last found occluder in directional structure
- Test entry first for next shadow test



Ray Classification

- **Partitioning of space and direction [Arvo & Kirk'87]**
 - Roughly pre-computes visibility for the entire scene
 - What is visible from each point in each direction?
 - Very costly preprocessing, cheap traversal
 - Improper trade-off between preprocessing and run-time
 - Memory hungry, even with lazy evaluation
 - Seldom used in practice



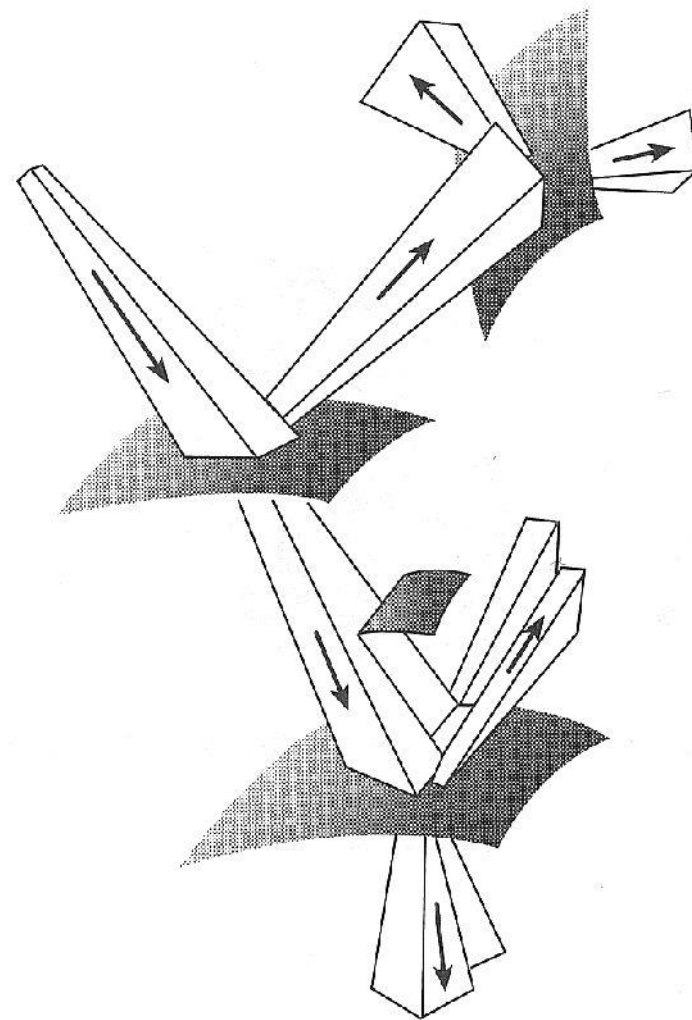
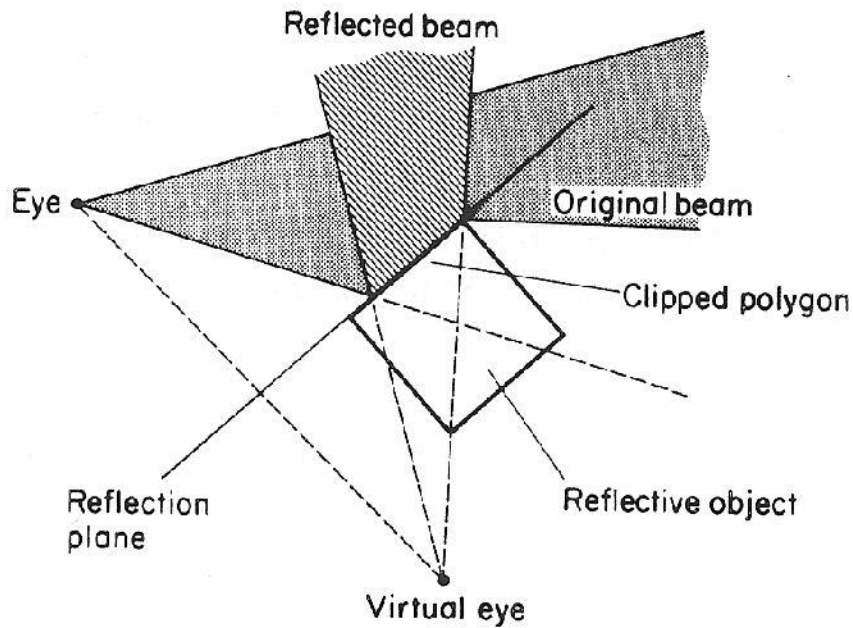
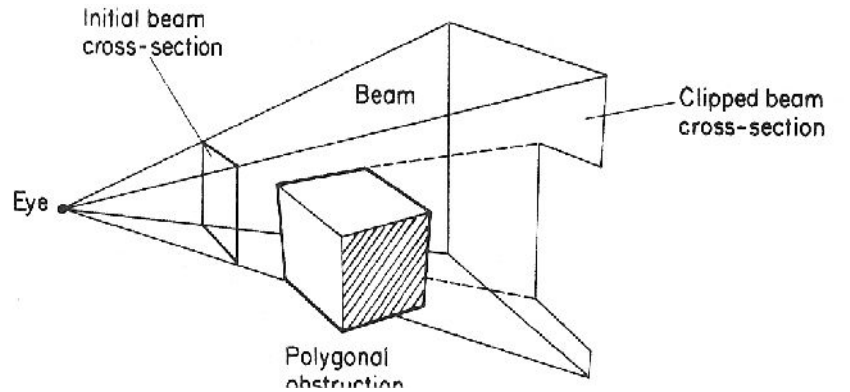
Packet Tracing

- **Approach**

- Combine many similar rays (e.g. primary or shadow rays)
- Trace them together in SIMD fashion
 - All rays perform the same traversal operations
 - All rays intersect the same geometry
 - Can use SIMD instructions in modern processors
- Exposes coherence between rays
 - All rays touch similar spatial indices
 - Loaded data can be reused (in registers & cache)
 - More computation per recursion step → better optimization
- Overhead
 - Rays will perform unnecessary operations
 - Overhead low for coherent and small set of rays (e.g. up to 4x4 rays)

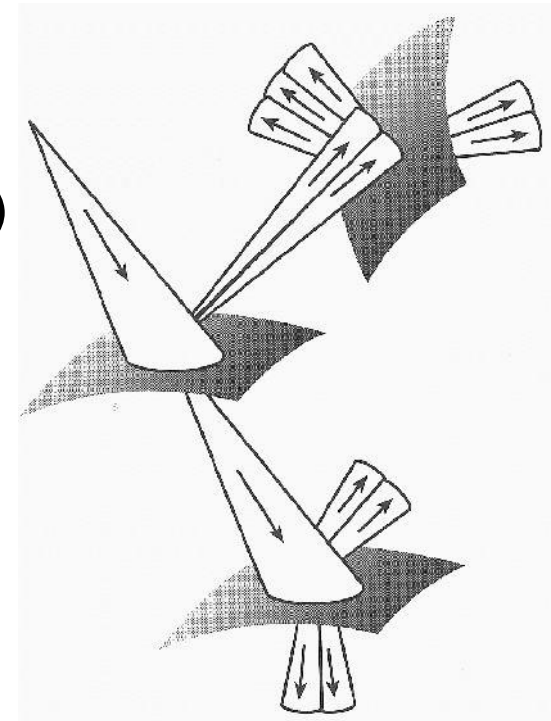
- **Needs an API that provides coherent sets of rays**

Beam Tracing



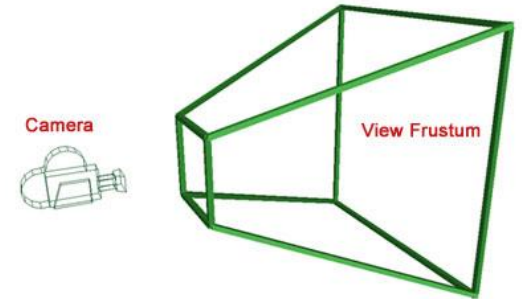
Beam and Cone Tracing

- **General idea:**
 - Trace continuous bundles of rays
- **Cone Tracing:**
 - Approximate collection of ray with cone(s)
 - Subdivide into smaller cones if necessary
- **Beam Tracing:**
 - Exactly represent a ray bundle with pyramid
 - Create new beams at intersections (polygons)
- **Problems:**
 - Clipping of beams?
 - Good approximations?
 - How to compute intersections?
- **Not really practical !!**



Frustum Tracing

- **Bound set of rays with frustum (NOT frustrum!!)**
 - Only during traversal
 - API needs to provide coherent groups of rays
 - Possibly hierarchically
- **Traverse spatial index with frustum**
 - Small overhead (largely avoided by SIMD)
 - Compute with 4 corner rays
 - Avoid traversing many rays individually
 - Particularly beneficial in the upper levels of index
 - Switch to (packets of) rays when needed (intersection)
 - Might be able to only use subset (e.g. based on extend of triangle)
 - Split frustum hierarchically and traverse separately in lower levels
 - Avoids overhead of carrying to many rays into small nodes
- **E.g. fast primary ray traversal by W. Hunt (Oculus)**



Distribution Ray Tracing

- Formerly called **Distributed Ray Tracing** [Cook`84]
- **Stochastic Sampling of**
 - Pixel: Antialiasing
 - Lens: Depth-of-field
 - BRDF: Glossy reflections
 - Lights: Smooth shadows from area light sources
 - Time: Motion blur
- **Covered in detail in RIS course**

